## Re Education standards for experienced financial advisers and technical fixes for new entrants---- SUBMISSION

## Dear Minister

I am a stockbroker with just about 40 years' experience working with and as a STOCKBROKER. I did complete a BBUS Accountancy after schooling at a sandstone university that was and still is totally useful for my profession however this is not recognised by Treasury/ FASEA as appropriate (I feel that is is!)

I have maintained my association as a CPA.

I did the Securities Institute Course for Stockbrokers in the 1980s (now discontinued and not recognized but was a valuable course at the time)

I have done the Company directors course (not recognized by ASIC on the registrar but again useful for the profession

I have done for the past approx. 20 years approximately between 20 and now 40 hours per year CPD and this is ongoing until I retire.

I have good experience dealing with clients wanting to trade the share market for the long term and for the short term.

The ASX is also a conduit for the raising of funds necessary for business to expand and change.

I strongly present that these draft covers what is needed to embrace me and other like me in this industry that we are passionate about.

I am 62 and would prefer to be able to choose my retirement date which I would like to hope could be more than 10 years so not having a sunset clause is appropriate.

I did do an ethics course unit as did many early on in this farce created by the previous government and have passed the exam which did not really reflect my job but non the less its behind me so that is good to keep this in place.

It probably could be a bit better focused with a collection of questions around a specific designation (Stockbroking, Financial planning, insurance...)

The online remote is truly horrible, and the experience was terrible (It was blunt, slow and condescending on many facets and worse than my sons university exams and courses so this needs addressing for the future.

I feel the Advisers ASIC registrar should be able to disclose all my qualifications and that may well address the concerns of some than this legalisation update somehow reduces the professionalism which is not the case for me, and I expect many others.

I would be fully supportive of it being implemented as currently presented. Thank you for allowing me to lodge a comment.

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