

Dear Case Officer, please see below details:

PROFESSIONAL EXPERIENCE

04/08/2005 to 31/01/2006 – Financial Adviser
01/02/2006 to 16/09/2009 – Paraplanner
17/09/2009 to up until now – Financial Adviser
Total experience as Financial Adviser – 13 years plus
Complaints – Zero

EDUCATION BACKGROUND

Bachelors of Commerce – 2002 from Bangalore University (NIA and IPA Approved Degree)
Advanced Diploma in Management – 2004 from ICFAI University
Master in Financial Planning – 2005 from Griffith University
Certificate in Self-Managed Superannuation fund – 2005 from Tribeca
Certified Financial Planner – 2011 from Deakin University
Professional Diploma in Stock Broking – 2011 from Stock Brokers Association of Australia
Certificate in Margin Lending – 2015 from Pinnacle Financial Services
Certificate 4 in Finance and Mortgage Broking – 2016 from Kaplan
FASEA Exam – 2020 (1st Attempt)
CPD Points – met all the time without failure

Issue

In spite of ticking all the above boxes (including 10 years as an adviser), I will not be eligible for 10 year Pathway. I will be forced to meet additional education requirements.

Solution

10 year experience pathway MUST be considered between 1 July 2007 to 1 July 2022 as against 1 Jan 2004 to 1 Jan 2019.

Main reasons are as follows:

Between 1 July 2007 to 1 July 2022

- 1) Adviser would have dealt with Global Financial Crisis;
- 2) Adviser would have dealt with major regulatory changes which took place after royal commission;
- 3) Adviser would have dealt with Global Pandemic;
- 4) Adviser would have dealt with FASEA Ethics Exam;
- 5) Adviser would have dealt within sinking (morale is lowest on record) adviser numbers from 30,000 to 16,000, and
- 6) Adviser would have dealt with Post Global Pandemic (Record Inflation all over the world).

Between 1 Jan 2004 to 1 Jan 2019

- 1) Adviser would have dealt with only Global Financial Crisis. Rest of the 5 (most challenging in the history of financial planning) items shown above are missing.

I hope my email/info makes sense.

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