

ATTACHMENT A

**References to Explanatory Memorandum to the Treasury Laws
Amendment (Measures for Consultation) Bill 2022: ALRC Financial Services
Interim Report Recommendations**

Division	Item	Provision reference	Definitions/Topic	Principle
1	3	Section 9 (definition of	ASIC	3 - all terms in s 9
1	4	Section 9 (paragraph (c) of the definition of body corporate)	body corporate	3.2 - term with different meanings in different areas of the Act
1	5	Section 9	doing	3 - all terms in s 9
1	6	Section 9 (definition of circulating security interest)	circulating security interest	3 - all terms in s 9
1	7	Section 9	disclosing entity provisions	3 - all terms in s 9
1	7	Section 9	in default	3 - all terms in s 9
1	7	Section 9	in this jurisdiction or elsewhere	3 - all terms in s 9
1	8	Section 9 (note to the definition of lease)	PPSA security interest	3 - all terms in s 9
1	9	Section 9 (definition of listed disclosing entity)	unlisted disclosing entity	1 - not defined if ordinary meaning sufficient or term is redundant
1	10	Section 9 (definition of lodge)	lodge	3 - all terms in s 9
1	11	Section 9	number of shares	3 - all terms in s 9
1	12	Section 9	possessory security interest	3 - all terms in s 9
1	13	Section 9 (definition of PPSA security interest)	PPSA security interest	3 - all terms in s 9
1	14	Section 9	register	3 - all terms in s 9
1	15	Section 9 (definition of Registrar)	Registrar	3 - all terms in s 9
1	16	Section 9 (note to the definition of retention of title clause)	PPSA security interest	3 - all terms in s 9
1	17	Section 9 (definition of security interest)	security interest	3 - all terms in s 9
1	18	Section 9 (definition of secured creditor)	secured creditor	3 - all terms in s 9
1	19	Section 9	secured party	3 - all terms in s 9
1	19	Sections 51 to 51E	security interest	3 - all terms in s 9
1	20	Section 9 (definition of unlisted disclosing entity)	unlisted disclosing entity	1 - not defined if ordinary meaning sufficient or term is redundant
1	21	Section 9C	Registrar	3 - all terms in s 9
1	22	Division 6A of Part 1.2 (heading)	PPSA security interest	3 - all terms in s 9
1	23	Sections 51 to 51E	circulating security interest	3 - all terms in s 9
1	23	Sections 51 to 51E	possessory security interest	3 - all terms in s 9
1	23	Sections 51 to 51E	PPSA security interest	3 - all terms in s 9
1	23	Sections 51 to 51E	secured creditor	3 - all terms in s 9
1	23	Sections 51 to 51E	secured party	3 - all terms in s 9

1	24	Section 53 (heading)	affairs - body corporate other than a CCIV	4 - consistent and intuitive headings for definition sections
1	25	Section 53AAA (heading)	affairs - CCIV	4 - consistent and intuitive headings for definition sections
1	26	Section 53AA (heading)	business affairs - body corporate	4 - consistent and intuitive headings for definition sections
1	27	Section 53AB (heading)	business affairs - natural person	4 - consistent and intuitive headings for definition sections
1	28	Section 53AC (heading)	business affairs - partnership	4 - consistent and intuitive headings for definition sections
1	29	Section 53AD (heading)	business affairs—trust	4 - consistent and intuitive headings for definition sections
1	30	Division 2 of Part 1.2A (heading)	disclosing entity and related terms	4 - consistent and intuitive headings for definition sections
1	31	Section 111AB	disclosing entity	3 - all terms in s 9
1	31	Section 111AB	ED securities	3 - all terms in s 9
1	31	Section 111AB	ED securities of a disclosing entity	3 - all terms in s 9
1	31	Section 111AB	listed disclosing entity	3 - all terms in s 9
1	31	Section 111AB	quoted ED securities	3 - all terms in s 9
1	31	Section 111AB	unlisted disclosing entity	3 - all terms in s 9
1	32	Section 111AL	unlisted disclosing entity	4 - consistent and intuitive headings for definition sections
1	33	Section 111AR(1)	disclosing entity provisions	3 - all terms in s 9
1	34	Subsection 442B(1) (note 1)	PPSA security interest	3 - all terms in s 9
1	35	Subsections 910B(1) and (2)	control	3.2 - term with different meanings in different areas of the Act
2	37	Section 9	auditor's report	3 - all terms in s 9
2	37	Section 9	chief executive function	3 - all terms in s 9
2	37	Section 9	chief financial officer function	3 - all terms in s 9
2	37	Section 9	conflict of interest situation	3 - all terms in s 9
2	37	Section 9	corporate responsibility provision	3 - all terms in s 9
2	37	Section 9	directors' report	3 - all terms in s 9
2	37	Section 9	equal reduction	3 - all terms in s 9
2	38	Section 9 (definition of financial benefit)	financial benefit	2 - no defined term if not frequently used
2	39	Section 9 (definition of financial report)	financial report	3 - all terms in s 9
2	40	Section 9	giving a financial benefit	3 - all terms in s 9
2	41	Section 9	independent person	3.2 - term with different meanings in different areas of the Act
2	42	Section 9 (subparagraph (a)(ii) of the definition of play a significant role)	auditor's report	3 - all terms in s 9
2	43	Section 9	replaceable rule	3 - all terms in s 9
2	43	Section 9	selective reduction	3 - all terms in s 9
2	43	Section 9	shareholder	3.2 - term with different meanings in different areas of the Act

2	43	Section 9	spill meeting	3 - all terms in s 9
2	43	Section 9	spill resolution	3 - all terms in s 9
2	45	Subsection 229(1)	giving a financial benefit	3 - all terms in s 9
2	46	Paragraph 250RA(1)(a)	auditor's report	3 - all terms in s 9
2	47	Paragraph 250RA(3)(c)	auditor's report	3 - all terms in s 9
2	48	Subparagraph 307B(1)(c)(i)	auditor's report	3 - all terms in s 9
2	49	Subparagraph 307B(3)(b)(i)	auditor's report	3 - all terms in s 9
2	50	Subparagraph 307C(5)(a)(i)	auditor's report	3 - all terms in s 9
2	51	Subsection 314A(1)(note)	auditor's report	3 - all terms in s 9
2	52	Subsection 324CH(1) (table items 8 and 9)	auditor's report	3 - all terms in s 9
2	53	Subsection 332A(2)	annual transparency report	6 - bold italics only used when defining
2	54	Subsection 336(4)	auditor's report	3 - all terms in s 9
2	55	Subsection 601SCD(3) (definition of financial benefit)	financial benefit	3 - all terms in s 9
3	56	Section 9	486B warrant	3 - all terms in s 9
3	56	Section 9	appropriate officer	3.2 - term with different meanings in different areas of the Act
3	56	Section 9	directors	3.2 - term with different meanings in different areas of the Act
3	59	Section 9	eligible unsecured creditor	3 - all terms in s 9
3	59	Section 9	employee	3.2 - term with different meanings in different areas of the Act
3	60	Section 9 (paragraph (aaa) of the definition of property)	property	3.2 - term with different meanings in different areas of the Act
3	61	Section 9	section 513CA day	3 - all terms in s 9
3	62	Section 9 (definition of receiver)	receiver	3.2 - term with different meanings in different areas of the Act
3	63	Section 9	triggering event	3 - all terms in s 9
3	64	Section 416	officer	2 - no defined term if not frequently used
3	65	Paragraph 422(1)(aa)	officer	2 - no defined term if not frequently used
3	66	Paragraph 422(3)(a)	officer	2 - no defined term if not frequently used
3	67	Subparagraph 422(4)(b)(ia)	officer	2 - no defined term if not frequently used
3	68	Section 429 (heading)	reporting officer	4 - consistent and intuitive headings for definition sections
3	69	Paragraph 430(1)(aa)	officer	2 - no defined term if not frequently used
3	70	At the end of subsection 430(1)(e)	officer	2 - no defined term if not frequently used
3	71	Section 435B	property and receiver	4 - consistent and intuitive headings for definition sections

3	72	Section 452B	property	4 - consistent and intuitive headings for definition sections
3	73	Section 465 (heading)	property	4 - consistent and intuitive headings for definition sections
3	74	Section 489F	triggering event	4 - consistent and intuitive headings for definition sections
3	76	Paragraph 500A(2)(a)	triggering event	3 - all terms in s 9
3	77	Section 513AA (heading)	property	4 - consistent and intuitive headings for definition sections
3	78	Section 513CA (heading)	section 513CA day	4 - consistent and intuitive headings for definition sections
3	79	Section 580	external administration matter and prescribed country	2 - no defined term if not frequently used
3	80	Subsection 581(1)	external administration matter	2 - no defined term if not frequently used
3	81	Subsection 581(2)(a)(iii)	prescribed country	2 - no defined term if not frequently used
3	82	Section 588C (heading)	property	4 - consistent and intuitive headings for definition sections
3	83	Section 588FK	PPSA security interest	3 - all terms in s 9
3	84	Subsection 589(5)	appropriate officer	4 - consistent and intuitive headings for definition sections
3	84	Subsection 589(5)	property	4 - consistent and intuitive headings for definition sections
3	85	Subsection 589(5) (definition of relevant day)	relevant day	2 - no defined term if not frequently used
3	86	Subsection 590(8)	relevant day	2 - no defined term if not frequently used
3	87	Section 601 (heading)	property	4 - consistent and intuitive headings for definition sections
3	88	Section 601C (heading)	property	4 - consistent and intuitive headings for definition sections
4	89	Section 9	compliance committee	3 - all terms in s 9
4	89	Section 9	liquid	3 - all terms in s 9
4	90	Paragraphs 601GA(4)(b) and (c)	liquid	3 - all terms in s 9
5	91	Subsection 5(1) (ASIC Act)	traditional trustee company services	3 - all terms in s 9
5	91	Subsection 5(1) (ASIC Act)	trustee company	3 - all terms in s 9
5	92	Section 9	asset	3.3 - term used with same meaning throughout Act
5	92	Section 9	certificate of transfer	3.1 - term given Act-wide meaning
5	92	Section 9	client, in relation to a trustee company	3.1 - term given Act-wide meaning
5	92	Section 9	common fund	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	compulsory transfer determination	3.1 - term given Act-wide meaning
5	92	Section 9	estate assets and liabilities	3.1 - term given Act-wide meaning

5	92	Section 9	estate management functions	3.1 - term given Act-wide meaning
5	92	Section 9	estate that is administered or managed	3.1 - term given Act-wide meaning
5	92	Section 9	fees	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	interest	3.3 - term used with same meaning throughout Act
5	92	Section 9	interests	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	law	3.2 - term with different meanings in different areas of the Act
5	92	Section 9	liability	3.3 - term used with same meaning throughout Act
5	93	Section 9 (definition of licensed trustee company)	licensed trustee company	3.3 - term used with same meaning throughout Act
5	94	Section 9	person with a proper interest	3.3 - term used with same meaning throughout Act
5	94	Section 9	receiving company	3.1 - term given Act-wide meaning
5	94	Section 9	traditional trustee company services	3.3 - term used with same meaning throughout Act
5	94	Section 9	transfer determination	3.1 - term given Act-wide meaning
5	94	Section 9	transferring company	3.1 - term given Act-wide meaning
5	94	Section 9	trustee company	3.3 - term used with same meaning throughout Act
5	94	Section 9	trustee company provisions	3.1 - term given Act-wide meaning
5	94	Section 9	unacceptable control situation	3.1 - term given Act-wide meaning
5	94	Section 9	voluntary transfer determination	3.1 - term given Act-wide meaning
5	94	Section 9	will	3.3 - term used with same meaning throughout Act
5	95	Paragraph 490(1)(c)	trustee company	3.3 - term used with same meaning throughout Act
5	96	Subsection 490(2)	person with a proper interest	3.3 - term used with same meaning throughout Act
5	97	Section 601RAA	estate that is administered or managed	3.1 - term given Act-wide meaning
5	98	Section 601RAB (heading)	client	4 - consistent and intuitive headings for definition sections
5	99	Paragraph 601RAB(2A)(e)	unacceptable control situation	3.1 - term given Act-wide meaning
5	100	Subsection 601SBB(1A)	estate that is administered or managed	3.1 - term given Act-wide meaning
5	101	Subsection 601SCA(1)	common fund	3.2 - term with different meanings in different areas of the Act
5	102	Section 601VAA	unacceptable control situation	3.1 - term given Act-wide meaning
5	103	Section 601VAC (heading)	unacceptable control situation	4 - consistent and intuitive headings for definition sections

5	104	Section 601WAA	estate assets and liabilities	3.1 - term given Act-wide meaning
5	105	Paragraph 601WBA(1)(a)	compulsory transfer determination	3.1 - term given Act-wide meaning
5	107	Subsection 601WCA(1)	authorised ASIC officer	2 - no defined term if not frequently used
5	108	Subparagraph 601WCB(b)(i)	authorised ASIC officer	2 - no defined term if not frequently used
5	109	Subparagraph 601WCC(1)(b)(i)	authorised ASIC officer	2 - no defined term if not frequently used
5	110	Subsection 601WDA(1)	cancel	2 - no defined term if not frequently used
5	110	Subsection 601WDA(1)	licence	2 - no defined term if not frequently used
5	112	Section 601WCI	authorised ASIC officer	2 - no defined term if not frequently used
5	113	Paragraph 792A(1)(h)	unacceptable control situation	3.1 - term given Act-wide meaning
5	114	Paragraph 821A(1)(g)	unacceptable control situation	3.1 - term given Act-wide meaning
6	118	Paragraphs 617(1)(a) and (3)(a)	bid class	6 - bold italics only used when defining
7	119	Subsection 5(1) (paragraph (a) of the definition of member) (ASIC Act)	Takeovers Panel	5 - intuitive labelling
7	120	Subsection 5(1) (PARAGRAPH (Takeovers Panel	5 - intuitive labelling
7	121	Subsection 5(1) (definition of Panel)	Panel	5 - intuitive labelling
7	122	Subsection 5(1) (definition of Panel proceedings)	Panel proceedings	5 - intuitive labelling
7	123	Subsection 5(1) (definition of President)	Takeovers Panel	5 - intuitive labelling
7	124	Subsection 5(1) (ASIC Act)	Takeovers Panel	5 - intuitive labelling
7	124	Subsection 5(1) (ASIC Act)	Takeovers Panel proceedings	5 - intuitive labelling
7	125	Subsection 5(1) (paragraph (b) of the definition of witness) (ASIC Act)	Takeovers Panel	5 - intuitive labelling

7	126	Paragraph 11(2)(a), Paragraph 127(4)(a), Section 172, Section 173, Section 174 (heading), Section 174, Subsection 183(1), Division 2 of Part 10 (heading), Section 184 (heading), Section 184, Subsection 185(1), Paragraph 185(1)(b), Subsection 185(1A), Subsections 185(2) and (3), Section 186, Division 3 of Part 10 (heading), Section 187, Section 187, Section 188, Section 190 (heading), Subsections 190(1) and (2)	Takeovers Panel	5 - intuitive labelling
7	127	Subsection 190(3) (ASIC Act)	Takeovers Panel proceedings	5 - intuitive labelling
7	128	Section 192, Section 193, Section 194 (heading), Section 194, Section 195, Section 197, Section 199, Section 200 (heading), Paragraph 200(1)(a), Paragraph 200(1)(a), Paragraph 200(1)(b), Section 201, Section 201A (heading), Section 201A, Subparagraph 243(a)(i), Paragraph 246(1)(e) (ASIC Act)	Takeovers Panel	5 - intuitive labelling
7	129	Section 9 (definition of Panel)	Takeovers Panel	5 - intuitive labelling
7	130	Section 9 (definition of Panel)	Takeovers Panel	5 - intuitive labelling

7	131	Subsection 655A(1) (note), Paragraph 655B(1)(b), Subsections 656A(1), (2) and (3), Subsection 656A(5), Paragraph 656A(5)(a), Paragraph 656A(5)(b), Subsection 656A(5), Section 656B, Subsections 657A (1), (2), (3) and (4), Subsection 657A(6), Paragraph 657A(6)(b), Subsection 657A(7), Section 657A, Section 657B (heading), Section 657B, Section 657C, Section 657D (heading), Section 657D, Section 657E, Section 657EA (heading), Section 657EA, Section 657EB, Section 657F (heading), Section 657G (heading), Subsection 657G(1), Subsection 657G(1), Paragraph 657G(2)(b), Paragraphs 657G(2)(c)	Takeovers Panel	5 - intuitive labelling
8	132	Subsection 5(1) (ASIC Act)	arrangement	3.2 - term with different meanings in different areas of the Act
8	133	Subsection 5(1) (definition of crowd-funding service) (ASIC Act)	crowd-funding service	3.3 - term used with same meaning throughout Act
8	134	Subsection 5 (1) (ASIC Act)	dealing	3.2 - term with different meanings in different areas of the Act
8	135	Subsection 5(1) (paragraph (b) of definition of financial product) (ASIC Act)	financial product	3.2 - term with different meanings in different areas of the Act
8	136	Subsection 5(1) (paragraph (b) of definition of financial service) (ASIC Act)	financial service	3.3 - term used with same meaning throughout Act
8	137	Subsection 5(1) (note to definition of financial service) (ASIC Act)	financial service	3.3 - term used with same meaning throughout Act
8	138	Subsection 5 (1) (ASIC Act)	issue	3.2 - term with different meanings in different areas of the Act
8	138	Subsection 5(1) (ASIC Act)	issuer	3.2 - term with different meanings in different areas of the Act

8	138	Subsection 5(1) (ASIC Act)	issuer	3.3 - term used with same meaning throughout Act
8	138	Subsection 5(1) (ASIC Act)	person	3.2 - term with different meanings in different areas of the Act
8	139	Subsection 5(1) (definition of proposed action notice) (ASIC Act)	proposed action notice	3.3 - term used with same meaning throughout Act
8	140	Subsection 5(1) (ASIC Act)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	141	Subsection 5(1) (ASIC Act)	relevant provider	3.3 - term used with same meaning throughout Act
8	141	Subsection 5(1) (ASIC Act)	restricted civil penalty provision	3.3 - term used with same meaning throughout Act
8	142	Subsection 5(1) (ASIC Act)	securities	3.2 - term with different meanings in different areas of the Act
8	143	Subsection 5(1) (definition of Tax Practitioners Board)	Tax Practitioners Board	3.1 - term given Act-wide meaning
8	144	Subsection 5(2) (ASIC Act)	terms used in the ASIC Act	3 - all terms in s 9
8	145	Subsection 12BA(1) (definition of superannuation trustee service) (ASIC Act)	superannuation trustee service	3.1 - term given Act-wide meaning
8	146	Subsection 12DU(a) (ASIC Act)	personal advice	3.1 - term given Act-wide meaning
8	147	Subparagraph 127(4)(aa)(i) (ASIC Act)	AFCA scheme	3.1 - term given Act-wide meaning
8	148	Section 9	able to be traded	3.2 - term with different meanings in different areas of the Act
8	149	Section 9 (definition of acquire)	acquire	3.3 - term used with same meaning throughout Act
8	150	Section 9	AFCA	3.1 - term given Act-wide meaning
8	150	Section 9	AFCA scheme	3.1 - term given Act-wide meaning
8	150	Section 9	AFCA staff member	3.1 - term given Act-wide meaning
8	150	Section 9	AFCA superannuation scheme	3.2 - term with different meanings in different areas of the Act
8	150	Section 9	anniversary day	3.1 - term given Act-wide meaning
8	151	Section 9 (definition of annual turnover)	annual turnover	3.3 - term used with same meaning throughout Act
8	152	Section 9	annuity policy	3.1 - term given Act-wide meaning
8	152	Section 9	apportionable claim	3.1 - term given Act-wide meaning
8	152	Section 9	appropriate	3.1 - term given Act-wide meaning
8	152	Section 9	approved deposit fund	3.3 - term used with same meaning throughout Act
8	153	Section 9 (definition of arrangement)	arrangement	3.2 - term with different meanings in different areas of the Act
8	153	Section 9 (definition of arrangement)	arrangements	3.2 - term with different meanings in different areas of the Act

8	154	Section 9	asset-based fee	3.3 - term used with same meaning throughout Act
8	155	Section 9 (definition of Australian CS facility licence)	Australian CS facility licence	3.3 - term used with same meaning throughout Act
8	156	Section 9 (definition of Australian derivative trade repository licence)	Australian derivative trade repository licence	3.3 - term used with same meaning throughout Act
8	157	Section 9 (definition of Australian financial services licence)	Australian financial services licence	3.3 - term used with same meaning throughout Act
8	158	Section 9 (definition of Australian market licence)	Australian market licence	3.3 - term used with same meaning throughout Act
8	159	Section 9	authorised clearing and settlement facility	3.1 - term given Act-wide meaning
8	159	Section 9	authorised representative	3.3 - term used with same meaning throughout Act
8	160	Section 9 (definition of BAS agent)	BAS agent	2 - no defined term if not frequently used
8	161	Section 9	basic banking product	3.1 - term given Act-wide meaning
8	161	Section 9	basic deposit product	3.3 - term used with same meaning throughout Act
8	161	Section 9	benefit ratio	3.1 - term given Act-wide meaning
8	161	Section 9	benefit ratio requirements	3 - all terms in s 9
8	161	Section 9	binder	3.1 - term given Act-wide meaning
8	161	Section 9	body corporate licensee	3.1 - term given Act-wide meaning
8	161	Section 9	borrowed	3 - all terms in s 9
8	161	Section 9	borrowing	3.2 - term with different meanings in different areas of the Act
8	161	Section 9	cancellation time	3.1 - term given Act-wide meaning
8	162	Section 9 (definition of carry on)	carry on	3 - all terms in s 9
8	163	Section 9	Cash Settlement Fact Sheet	3.3 - term used with same meaning throughout Act
8	164	Section 9	cause	1 - not defined if ordinary meaning sufficient or term is redundant
8	165	Section 9	CGS depository interest	3.1 - term given Act-wide meaning
8	165	Section 9	CGS depository interest information website	3.1 - term given Act-wide meaning
8	165	Section 9	choice product	3.3 - term used with same meaning throughout Act
8	165	Section 9	claimant intermediary	3.1 - term given Act-wide meaning
8	166	Section 9 (definition of claims handling and settling service)	claims handling and settling service	3.3 - term used with same meaning throughout Act
8	167	Section 9 (paragraph (d) of the definition of class)	class	3.3 - term used with same meaning throughout Act
8	168	Section 9	class of product advice	3.1 - term given Act-wide meaning

8	168	Section 9	clawback requirements	3.1 - term given Act-wide meaning
8	168	Section 9	clearing and settlement arrangements	3.3 - term used with same meaning throughout Act
8	169	Section 9 (definition of clearing and settlement facility)	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	170	Section 9	clearing requirements	3.1 - term given Act-wide meaning
8	170	Section 9	client	3.3 - term used with same meaning throughout Act
8	170	Section 9	client money reporting rules	3.3 - term used with same meaning throughout Act
8	170	Section 9	Code of Ethics	3.1 - term given Act-wide meaning
8	170	Section 9	compensation arrangements	3.1 - term given Act-wide meaning
8	170	Section 9	compensation rules	3.1 - term given Act-wide meaning
8	170	Section 9	complaint	3.2 - term with different meanings in different areas of the Act
8	170	Section 9	concurrent wrongdoer	3.1 - term given Act-wide meaning
8	170	Section 9	conflicted remuneration	3.3 - term used with same meaning throughout Act
8	170	Section 9	consumer credit insurance	3.1 - term given Act-wide meaning
8	170	Section 9	contract of insurance	3.2 - term with different meanings in different areas of the Act
8	170	Section 9	core obligation	3.1 - term given Act-wide meaning
8	170	Section 9	CPD provision	3.1 - term given Act-wide meaning
8	170	Section 9	CPD year	3.1 - term given Act-wide meaning
8	170	Section 9	crowd-funding service	3.3 - term used with same meaning throughout Act
8	170	Section 9	CS facility licensee	3.3 - term used with same meaning throughout Act
8	170	Section 9	custodial arrangement	3.1 - term given Act-wide meaning
8	170	Section 9	custodian	3.3 - term used with same meaning throughout Act
8	171	Section 9 (definition of dealing)	dealing	3.2 - term with different meanings in different areas of the Act
8	172	Section 9	death benefit decision-maker	3.1 - term given Act-wide meaning
8	173	Section 9 (definition of defective)	defective	3.2 - term with different meanings in different areas of the Act
8	174	Section 9	deposit product	3.1 - term given Act-wide meaning
8	175	Section 9 (definition of derivative)	derivative	3.3 - term used with same meaning throughout Act
8	176	Section 9	derivative trade data	3.1 - term given Act-wide meaning
8	176	Section 9	derivative trade repository	3.3 - term used with same meaning throughout Act
8	177	Section 9 (definition of derivative trade repository rules)	derivative trade repository rules	3.3 - term used with same meaning throughout Act
8	178	Section 9	derivative trade repository licensee	3.3 - term used with same meaning throughout Act
8	178	Section 9	derivative transaction	3.1 - term given Act-wide meaning

8	179	Section 9 (definition of derivative transaction rules)	derivative transaction rules	3.3 - term used with same meaning throughout Act
8	180	Section 9	disclosure document or statement	3.1 - term given Act-wide meaning
8	181	Section 9 (paragraph (a) of the definition of dispose)	dispose	3.3 - term used with same meaning throughout Act
8	182	Section 9	disqualified individual	3.3 - term used with same meaning throughout Act
8	182	Section 9	distribution information	3.1 - term given Act-wide meaning
8	182	Section 9	Division 3 arrangements	3.1 - term given Act-wide meaning
8	182	Section 9	Division 3 financial products	3.1 - term given Act-wide meaning
8	182	Section 9	Division 3 loss	3.1 - term given Act-wide meaning
8	182	Section 9	Division 4 arrangements	3.1 - term given Act-wide meaning
8	182	Section 9	education and training standards	3.1 - term given Act-wide meaning
8	182	Section 9	employer-sponsor	3.1 - term given Act-wide meaning
8	182	Section 9	excluded conduct	3.1 - term given Act-wide meaning
8	182	Section 9	execution requirements	3.1 - term given Act-wide meaning
8	182	Section 9	exempt public sector superannuation scheme	3.1 - term given Act-wide meaning
8	182	Section 9	facility	3.2 - term with different meanings in different areas of the Act
8	182	Section 9	fee disclosure statement	3.3 - term used with same meaning throughout Act
8	182	Section 9	fee recipient	3.3 - term used with same meaning throughout Act
8	182	Section 9	fidelity fund	3.1 - term given Act-wide meaning
8	183	Section 9 (definition of financial benchmark)	financial benchmark	3.3 - term used with same meaning throughout Act
8	184	Section 9 (definition of financial market)	financial market	3.3 - term used with same meaning throughout Act
8	185	Section 9 (definition of financial product)	financial product	3.2 - term with different meanings in different areas of the Act
8	186	Section 9	financial product advice	3.1 - term given Act-wide meaning
8	187	Section 9 (definition of financial service)	financial service	3.3 - term used with same meaning throughout Act
8	188	Section 9 (definition of Financial Services and Credit Panel)	Financial Services and Credit Panel	3.3 - term used with same meaning throughout Act
8	189	Section 9 (definition of financial Services business)	financial services business	1 - not defined if ordinary meaning sufficient or term is redundant
8	190	Section 9	Financial Services Guide	3.3 - term used with same meaning throughout Act
8	190	Section 9	financial services law	3.1 - term given Act-wide meaning
8	191	Section 9 (definition of financial services licensee)	financial services licensee	3.3 - term used with same meaning throughout Act

8	192	Section 9 (definition of financial year)	financial year	3.2 - term with different meanings in different areas of the Act
8	193	Section 9	foreign exchange contract	3.1 - term given Act-wide meaning
8	194	Section 9 (definition of foreign passport fund product)	foreign passport fund product	3.3 - term used with same meaning throughout Act
8	195	Section 9	foreign qualification	3.1 - term given Act-wide meaning
8	195	Section 9	funeral benefit	3.1 - term given Act-wide meaning
8	195	Section 9	further market-related advice	3.1 - term given Act-wide meaning
8	195	Section 9	general advice	3.3 - term used with same meaning throughout Act
8	195	Section 9	general insurance product	3.1 - term given Act-wide meaning
8	195	Section 9	generally available	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	holder	3.3 - term used with same meaning throughout Act
8	195	Section 9	information	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	information statement	3.1 - term given Act-wide meaning
8	195	Section 9	inside information	3.1 - term given Act-wide meaning
8	195	Section 9	insurance claims manager	3.1 - term given Act-wide meaning
8	195	Section 9	insurance product	3.3 - term used with same meaning throughout Act
8	195	Section 9	insured	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	insurer	3.2 - term with different meanings in different areas of the Act
8	195	Section 9	investment life insurance product	3.1 - term given Act-wide meaning
8	195	Section 9	involved in	3.3 - term used with same meaning throughout Act
8	195	Section 9 (definition of issue)	issue	3.2 - term with different meanings in different areas of the Act
8	196	Section 9	issuer	3.3 - term used with same meaning throughout Act
8	197	Section 9	issue situation	3.1 - term given Act-wide meaning
8	197	Section 9	issue statement	3.1 - term given Act-wide meaning
8	197	Section 9	issue	3.2 - term with different meanings in different areas of the Act
8	197	Section 9	kind	3.3 - term used with same meaning throughout Act
8	197	Section 9	licensed CS facility	3.3 - term used with same meaning throughout Act
8	197	Section 9	licensed derivative trade repository	3.1 - term given Act-wide meaning
8	197	Section 9	licensed market	3.3 - term used with same meaning throughout Act
8	197	Section 9	life policy	3.1 - term given Act-wide meaning
8	197	Section 9	life policy fund	3.1 - term given Act-wide meaning
8	197	Section 9	life risk insurance product	3.1 - term given Act-wide meaning

8	197	Section 9	limit	3.3 - term used with same meaning throughout Act
8	197	Section 9	limited-service time-sharing adviser	3.1 - term given Act-wide meaning
8	198	Section 9 (definition of listing rules)	listing rules	3.3 - term used with same meaning throughout Act
8	199	Section 9	makes a financial investment	3.1 - term given Act-wide meaning
8	199	Section 9	makes a market	3.1 - term given Act-wide meaning
8	199	Section 9	makes non-cash payments	3.1 - term given Act-wide meaning
8	200	Section 9 (definition of managed investment product)	managed investment product	3.3 - term used with same meaning throughout Act
8	202	Section 9	manages financial risk	3.1 - term given Act-wide meaning
8	202	Section 9	margin call	3.3 - term used with same meaning throughout Act
8	202	Section 9	margin lending facility	3.3 - term used with same meaning throughout Act
8	202	Section 9	market	3.1 - term given Act-wide meaning
8	203	Section 9 (definition of market integrity rules)	market integrity rules	3.3 - term used with same meaning throughout Act
8	204	Section 9	market licensee	3.1 - term given Act-wide meaning
8	204	Section 9	material effect	3.2 - term with different meanings in different areas of the Act
8	204	Section 9	MySuper product	3.3 - term used with same meaning throughout Act
8	204	Section 9	NGF	3.1 - term given Act-wide meaning
8	204	Section 9	non-standard margin lending facility	3.1 - term given Act-wide meaning
8	204	Section 9	offering	3.2 - term with different meanings in different areas of the Act
8	204	Section 9	ongoing fee	3.3 - term used with same meaning throughout Act
8	204	Section 9	ongoing fee arrangement	3.3 - term used with same meaning throughout Act
8	205	Section 9 (definition of operated in this jurisdiction)	operated in this jurisdiction	3.1 - term given Act-wide meaning
8	206	Section 9 (definition of operating rules)	operating rules	3.3 - term used with same meaning throughout Act
8	207	Section 9	Part 7.5 authority	3 - all terms in s 9
8	207	Section 9	Part 7.5 regulated fund	3 - all terms in s 9
8	208	Section 9 (definition of person)	person	3.2 - term with different meanings in different areas of the Act
8	209	Section 9	personal advice	3.3 - term used with same meaning throughout Act
8	209	Section 9	policy cost	3.1 - term given Act-wide meaning
8	209	Section 9	pooled superannuation trust	3.3 - term used with same meaning throughout Act
8	209	Section 9	prescribed CS facility	3.1 - term given Act-wide meaning

8	210	Section 9 (definition of prescribed derivative trade repository)	prescribed derivative trade repository	3.1 - term given Act-wide meaning
8	211	Section 9 (definition of procure)	procure	3.2 - term with different meanings in different areas of the Act
8	212	Section 9 (definition of Product Disclosure Statement)	Product Disclosure Statement	3.3 - term used with same meaning throughout Act
8	213	Section 9	product intervention order	3.1 - term given Act-wide meaning
8	216	Section 9	prohibition end day	3.1 - term given Act-wide meaning
8	217	Section 9 (definition of proposed action notice)	proposed action notice	3.3 - term used with same meaning throughout Act
8	218	Section 9	provide	3.3 - term used with same meaning throughout Act
8	218	Section 9	provider	3.2 - term with different meanings in different areas of the Act
8	218	Section 9	provisional relevant provider	3.3 - term used with same meaning throughout Act
8	218	Section 9	purchase	3.2 - term with different meanings in different areas of the Act
8	218	Section 9	qualified tax relevant provider	3.1 - term given Act-wide meaning
8	218	Section 9	reasonable investigation	3.1 - term given Act-wide meaning
8	218	Section 9	reasonably apparent	3.2 - term with different meanings in different areas of the Act
8	218	Section 9	recent advising history	3.1 - term given Act-wide meaning
8	218	Section 9	recommendation situation	3.1 - term given Act-wide meaning
8	218	Section 9	Register of Relevant Providers	3.1 - term given Act-wide meaning
8	218	Section 9	registered tax agent or BAS agent	3 - all terms in s 9
8	218	Section 9	registration prohibition order	3.1 - term given Act-wide meaning
8	218	Section 9	registration suspension order	3.1 - term given Act-wide meaning
8	218	Section 9	regulated person	3.3 - term used with same meaning throughout Act
8	218	Section 9	regulated sale	3.2 - term with different meanings in different areas of the Act
8	218	Section 9	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	218	Section 9	relevant conduct	3.1 - term given Act-wide meaning
8	218	Section 9	relevant Division 3 financial products	3.1 - term given Act-wide meaning
8	218	Section 9	relevant financial products	3.1 - term given Act-wide meaning
8	218	Section 9	relevant personal circumstances	3.1 - term given Act-wide meaning
8	218	Section 9	relevant provider	3.2 - term with different meanings in different areas of the Act
8	218	Section 9	renewal period	3.1 - term given Act-wide meaning

8	219	Section 9 (definition of Replacement Product Disclosure Statement)	Replacement Product Disclosure Statement	3.3 - term used with same meaning throughout Act
8	220	Section 9	reportable situation	3.3 - term used with same meaning throughout Act
8	220	Section 9	reporting period	3.2 - term with different meanings in different areas of the Act
8	220	Section 9	reporting requirements	3.2 - term with different meanings in different areas of the Act
8	220	Section 9	representative	3.3 - term used with same meaning throughout Act
8	221	Section 9 (definition of response period)	response period	3.1 - term given Act-wide meaning
8	222	Section 9	responsible licensee	3.1 - term given Act-wide meaning
8	222	Section 9	responsible person	3.1 - term given Act-wide meaning
8	223	Section 9 (definition of restricted civil penalty provision)	restricted civil penalty provision	3.3 - term used with same meaning throughout Act
8	224	Section 9 (definition of retail client)	retail client	3.3 - term used with same meaning throughout Act
8	225	Section 9	retail product distribution conduct	3.1 - term given Act-wide meaning
8	225	Section 9	review period	3.1 - term given Act-wide meaning
8	225	Section 9	review triggers	3.1 - term given Act-wide meaning
8	225	Section 9	risk insurance product	3.1 - term given Act-wide meaning
8	225	Section 9	RSA	3.1 - term given Act-wide meaning
8	225	Section 9	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	225	Section 9	RSA provider	3.1 - term given Act-wide meaning
8	225	Section 9	RSE licence	3.1 - term given Act-wide meaning
8	225	Section 9	sale	3.2 - term with different meanings in different areas of the Act
8	225	Section 9	sale Statement	3.1 - term given Act-wide meaning
8	225	Section 9	section 1020B products	3.1 - term given Act-wide meaning
8	225	Section 9	securities lending arrangement	3.1 - term given Act-wide meaning
8	225	Section 9	SEGC	3.1 - term given Act-wide meaning
8	225	Section 9	self managed superannuation fund	3.1 - term given Act-wide meaning
8	225	Section 9	sinking fund policy	3 - all terms in s 9
8	225	Section 9	standard employer-sponsor	3.1 - term given Act-wide meaning
8	225	Section 9	standard employer-sponsored fund	3.1 - term given Act-wide meaning
8	225	Section 9	standard employer-sponsored member	3.1 - term given Act-wide meaning
8	225	Section 9	standard margin lending facility	3.1 - term given Act-wide meaning
8	225	Section 9	Statement of Advice	3.3 - term used with same meaning throughout Act
8	225	Section 9	superannuation complaint	3.1 - term given Act-wide meaning

8	225	Section 9	superannuation entity	3.3 - term used with same meaning throughout Act
8	225	Section 9	superannuation product	3.1 - term given Act-wide meaning
8	225	Section 9	superannuation provider	3.1 - term given Act-wide meaning
8	225	Section 9	superannuation trustee service	3.1 - term given Act-wide meaning
8	225	Section 9	supervisor	3.1 - term given Act-wide meaning
8	225	Section 9	Supplementary Financial Services Guide	3.1 - term given Act-wide meaning
8	226	Section 9 (definition of Supplementary Product Disclosure Statement)	Supplementary Product Disclosure Statement	3.3 - term used with same meaning throughout Act
8	227	Section 9	suspension period	3.1 - term given Act-wide meaning
8	227	Section 9	target market	3.3 - term used with same meaning throughout Act
8	227	Section 9	target market determination	3.3 - term used with same meaning throughout Act
8	227	Section 9	tax (financial) advice service	3.1 - term given Act-wide meaning
8	227	Section 9	Tax Practitioners Board	3.1 - term given Act-wide meaning
8	227	Section 9	title document	3.1 - term given Act-wide meaning
8	227	Section 9	transfer	3.2 - term with different meanings in different areas of the Act
8	227	Section 9	trustee	3.3 - term used with same meaning throughout Act
8	227	Section 9	unsolicited contact	3 - all terms in s 9
8	227	Section 9	volume-based shelf-space fee	3.3 - term used with same meaning throughout Act
8	227	Section 9	wholesale client	3.3 - term used with same meaning throughout Act
8	227	Section 9	widely held market body	3 - all terms in s 9
8	227	Section 9	within authority	3.1 - term given Act-wide meaning
8	228	Section 92	securities	3.2 - term with different meanings in different areas of the Act
8	229	Subsection 92(4)	securities	3.2 - term with different meanings in different areas of the Act
8	230	Paragraph 324CH(6A)(b)	basic deposit product	3.3 - term used with same meaning throughout Act
8	231	Subparagraph 440JA(b)(iv)	derivative	3.3 - term used with same meaning throughout Act
8	232	Subparagraph 440JA(d)(ii)	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	233	Subparagraph 453X(b)(iv)	derivative	3.3 - term used with same meaning throughout Act
8	234	Subparagraph 453X(d)(ii)	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	235	Subsection 601RAB(3)	financial service	3.3 - term used with same meaning throughout Act
8	236	Paragraph 601RAC(3)(e)	pooled superannuation trust	3.3 - term used with same meaning throughout Act
8	237	Subsection 609(9A)	clearing and settlement facility	3.3 - term used with same meaning throughout Act

8	238	Paragraph 636(1)(ga)	issue situation	3.1 - term given Act-wide meaning
8	239	Section 703A	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	240	Section 738D	retail client	3.1 - term given Act-wide meaning
8	241	Section 760B (table item 1)	certain terms relating to financial services and markets	4 - consistent and intuitive headings for definition sections
8	242	Division 2 of Part 7.1 (heading)	certain terms relating to financial services and markets	4 - consistent and intuitive headings for definition sections
8	243	Section 761A	able to be traded	3.3 - term used with same meaning throughout Act
8	243	Section 761A	acquire	3.3 - term used with same meaning throughout Act
8	243	Section 761A	AFCA	3.1 - term given Act-wide meaning
8	243	Section 761A	AFCA scheme	3.1 - term given Act-wide meaning
8	243	Section 761A	AFCA staff member	3.1 - term given Act-wide meaning
8	243	Section 761A	AFCA superannuation scheme	5 - intuitive labelling
8	243	Section 761A	annual turnover	3.3 - term used with same meaning throughout Act
8	243	Section 761A	annuity policy	3.1 - term given Act-wide meaning
8	243	Section 761A	approved deposit fund	3.3 - term used with same meaning throughout Act
8	243	Section 761A	arrangement	3.2 - term with different meanings in different areas of the Act
8	243	Section 761B	arrangement	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	Australian CS facility licence	3.3 - term used with same meaning throughout Act
8	243	Section 761A	Australian derivative trade repository licence	3.3 - term used with same meaning throughout Act
8	243	Section 761A	Australian entity	2 - no defined term if not frequently used
8	243	Section 761A	Australian financial services licence	3.3 - term used with same meaning throughout Act
8	243	Section 761A	Australian market licence	3.3 - term used with same meaning throughout Act
8	243	Section 761A	authorised clearing and settlement facility	3.1 - term given Act-wide meaning
8	243	Section 761A	basic deposit product	3.3 - term used with same meaning throughout Act
8	243	Section 761A	binder	3.1 - term given Act-wide meaning
8	243	Section 761A	carried on in this jurisdiction	2 - no defined term if not frequently used
8	243	Section 761A	Cash Settlement Fact Sheet	3.3 - term used with same meaning throughout Act
8	243	Section 761A	certificate cancellation provisions	2 - no defined term if not frequently used
8	243	Section 761A	CGS depository interest	3.1 - term given Act-wide meaning
8	243	Section 761A	claimant intermediary	3.1 - term given Act-wide meaning

8	243	Section 761A	claims handling and settling service	3.3 - term used with same meaning throughout Act
8	243	Section 761A	class	3.3 - term used with same meaning throughout Act
8	243	Section 761A	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	243	Section 761A	clearing requirements	3.1 - term given Act-wide meaning
8	243	Section 761A	client money reporting rules	3.3 - term used with same meaning throughout Act
8	243	Section 761A	crowd-funding service	3.3 - term used with same meaning throughout Act
8	243	Section 761A	CS facility licensee	3.3 - term used with same meaning throughout Act
8	243	Section 761A	current LVR	2 - no defined term if not frequently used
8	243	Section 761A	dealing	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	death benefit decision-maker	3.1 - term given Act-wide meaning
8	243	Section 761A	deposit product	3.1 - term given Act-wide meaning
8	243	Section 761A	derivative	3.3 - term used with same meaning throughout Act
8	243	Section 761A	derivative retail client money	2 - no defined term if not frequently used
8	243	Section 761A	derivative retail client property	2 - no defined term if not frequently used
8	243	Section 761A	derivative trade data	3.1 - term given Act-wide meaning
8	243	Section 761A	derivative trade repository	3.3 - term used with same meaning throughout Act
8	243	Section 761A	derivative trade repository licensee	3.3 - term used with same meaning throughout Act
8	243	Section 761A	derivative trade repository rules	3.3 - term used with same meaning throughout Act
8	243	Section 761A	derivative transaction	3.1 - term given Act-wide meaning
8	243	Section 761A	derivative transaction rules	3.3 - term used with same meaning throughout Act
8	243	Section 761A	dispose	3.3 - term used with same meaning throughout Act
8	243	Section 761A	disqualified individual	3.3 - term used with same meaning throughout Act
8	243	Section 761A	employer-sponsor	3.1 - term given Act-wide meaning
8	243	Section 761A	execution requirements	3.1 - term given Act-wide meaning
8	243	Section 761A	exempt public sector superannuation scheme	3.1 - term given Act-wide meaning
8	243	Section 761A	financial market	3.3 - term used with same meaning throughout Act
8	243	Section 761A	financial product	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	financial product advice	3.1 - term given Act-wide meaning
8	243	Section 761A	financial product advice law	2 - no defined term if not frequently used

8	243	Section 761A	financial service	3.3 - term used with same meaning throughout Act
8	243	Section 761A	financial services business	1 - not defined if ordinary meaning sufficient or term is redundant
8	243	Section 761A	Financial Services Guide	3.3 - term used with same meaning throughout Act
8	243	Section 761A	financial services law	3.1 - term given Act-wide meaning
8	243	Section 761A	foreign exchange contract	3.1 - term given Act-wide meaning
8	243	Section 761A	foreign passport fund product	3.3 - term used with same meaning throughout Act
8	243	Section 761A	funeral benefit	3.1 - term given Act-wide meaning
8	243	Section 761A	funeral expenses facility	3.1 - term given Act-wide meaning
8	243	Section 761A	further market-related advice	3.1 - term given Act-wide meaning
8	243	Section 761A	general advice	3.3 - term used with same meaning throughout Act
8	243	Section 761A	general insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	holder	3.3 - term used with same meaning throughout Act
8	243	Section 761A	holder	3.3 - term used with same meaning throughout Act
8	243	Section 761A	insurance claims manager	3.1 - term given Act-wide meaning
8	243	Section 761A	insurance fulfilment provider	2 - no defined term if not frequently used
8	243	Section 761A	insurance product	3.3 - term used with same meaning throughout Act
8	243	Section 761A	insurer	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	investigating authority	1 - not defined if ordinary meaning sufficient or term is redundant
8	243	Section 761A	investment life insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	involved in	3.3 - term used with same meaning throughout Act
8	243	Section 761A	issue	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	issuer	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	kind	3.3 - term used with same meaning throughout Act
8	243	Section 761A	licensed CS facility	3.3 - term used with same meaning throughout Act
8	243	Section 761A	licensed derivative trade repository	3.1 - term given Act-wide meaning
8	243	Section 761A	licensed market	3.3 - term used with same meaning throughout Act
8	243	Section 761A	life policy	3.1 - term given Act-wide meaning
8	243	Section 761A	life policy fund	3.1 - term given Act-wide meaning
8	243	Section 761A	life risk insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	limit	3.3 - term used with same meaning throughout Act

8	243	Section 761A	listing rules	3.3 - term used with same meaning throughout Act
8	243	Section 761A	makes a market	3.1 - term given Act-wide meaning
8	243	Section 761A	managed investment product	3.3 - term used with same meaning throughout Act
8	243	Section 761A	margin call	3.3 - term used with same meaning throughout Act
8	243	Section 761A	margin lending facility	3.3 - term used with same meaning throughout Act
8	243	Section 761A	market integrity rules	3.3 - term used with same meaning throughout Act
8	243	Section 761A	market licensee	3.3 - term used with same meaning throughout Act
8	243	Section 761A	non-standard margin lending facility	3.1 - term given Act-wide meaning
8	243	Section 761A	operated in this jurisdiction	3.1 - term given Act-wide meaning
8	243	Section 761A	operating rules	3.3 - term used with same meaning throughout Act
8	243	Section 761A	person	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	personal advice	3.3 - term used with same meaning throughout Act
8	243	Section 761A	prescribed CS facility	3.1 - term given Act-wide meaning
8	243	Section 761A	prescribed derivative trade repository	3.1 - term given Act-wide meaning
8	243	Section 761A	Product Disclosure Statement	3.3 - term used with same meaning throughout Act
8	243	Section 761A	provide	3.3 - term used with same meaning throughout Act
8	243	Section 761A	recognised affiliate	1 - not defined if ordinary meaning sufficient or term is redundant
8	243	Section 761A	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	243	Section 761A	relevant personal circumstances	3.1 - term given Act-wide meaning
8	243	Section 761A	Replacement Product Disclosure Statement	3.3 - term used with same meaning throughout Act
8	243	Section 761A	reporting requirements	3.1 - term given Act-wide meaning
8	243	Section 761A	retail client	3.1 - term given Act-wide meaning
8	243	Section 761A	risk insurance product	3.1 - term given Act-wide meaning
8	243	Section 761A	RSA	3.1 - term given Act-wide meaning
8	243	Section 761A	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	243	Section 761A	RSA provider	3.1 - term given Act-wide meaning
8	243	Section 761A	RSE licence	3.1 - term given Act-wide meaning
8	243	Section 761A	sale	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	security	3.2 - term with different meanings in different areas of the Act
8	243	Section 761A	self managed superannuation fund	3.1 - term given Act-wide meaning

8	243	Section 761A	standard margin lending facility	3.1 - term given Act-wide meaning
8	243	Section 761A	Statement of Advice	3.3 - term used with same meaning throughout Act
8	243	Section 761A	superannuation complaint	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation entity	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation product	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation provider	3.1 - term given Act-wide meaning
8	243	Section 761A	superannuation trustee service	3.1 - term given Act-wide meaning
8	243	Section 761A	Supplementary Financial Services Guide	3.1 - term given Act-wide meaning
8	243	Section 761A	Supplementary Product Disclosure Statement	3.3 - term used with same meaning throughout Act
8	243	Section 761A	title document	3.1 - term given Act-wide meaning
8	243	Section 761A	traditional trustee company services	3.3 - term used with same meaning throughout Act
8	243	Section 761A	trustee	3.3 - term used with same meaning throughout Act
8	243	Section 761A	trustee company	3.3 - term used with same meaning throughout Act
8	243	Section 761A	wholesale client	3.3 - term used with same meaning throughout Act
8	244	Section 761C	carry on a financial services business	1 - not defined if ordinary meaning sufficient or term is redundant
8	245	Section 761CA	class	3.3 - term used with same meaning throughout Act
8	245	Section 761CA	kind	3.3 - term used with same meaning throughout Act
8	246	Subsection 761D(1)	derivative	3.3 - term used with same meaning throughout Act
8	247	Subsection 761D(2)	derivative	3.3 - term used with same meaning throughout Act
8	248	Subsection 761D(3)	derivative	3.3 - term used with same meaning throughout Act
8	249	Paragraph 761D(3)(d)	derivative	3.3 - term used with same meaning throughout Act
8	250	Subsection 761D(4)	derivative	3.3 - term used with same meaning throughout Act
8	251	Subsection 761E(3) (table item 2)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	251	Subsection 761E(3) (table item 2)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	252	Subsection 761E(7)	acquire	3.3 - term used with same meaning throughout Act
8	252	Subsection 761E(7)	issue	3.2 - term with different meanings in different areas of the Act
8	252	Subsection 761E(7)	provide	3.3 - term used with same meaning throughout Act
8	253	Subsections 761G(1) and (2)	retail client	3.3 - term used with same meaning throughout Act

8	254	Subsection 761G(3)	retail client	3.3 - term used with same meaning throughout Act
8	255	Subsection 761G(4)	wholesale client	3.3 - term used with same meaning throughout Act
8	256	Subsection 761G(5)	retail client	3.3 - term used with same meaning throughout Act
8	257	Subsection 761G(6)	retail client	3.3 - term used with same meaning throughout Act
8	258	Paragraph 761G(6)(a)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	258	Paragraph 761G(6)(a)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	259	Paragraph 761G(6)(aa)	pooled superannuation trust	3.3 - term used with same meaning throughout Act
8	260	Paragraph 761G(6)(b)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	260	Paragraph 761G(6)(b)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	262	Subparagraph 761G(6)(c)(ii)	RSA provider	3.1 - term given Act-wide meaning
8	263	Paragraph 761G(6)(c)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	263	Paragraph 761G(6)(c)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	264	Subsections 761G(6A) and (7)	retail client	3.3 - term used with same meaning throughout Act
8	265	Subsection 761G(7)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	265	Subsection 761G(7)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	266	Subsection 761G(9) (note 1)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	266	Subsection 761G(9) (note 1)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	267	Paragraph 761G(7)(c)	retail client	3.3 - term used with same meaning throughout Act
8	268	Section 761GA	retail client	3.3 - term used with same meaning throughout Act
8	269	Paragraph 761GA(b)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	269	Paragraph 761GA(b)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	270	Section 762B	financial product	3.2 - term with different meanings in different areas of the Act
8	271	Subsections 763A(1) and (2)	financial product	3.2 - term with different meanings in different areas of the Act
8	272	Section 763B	makes a financial investment	3.1 - term given Act-wide meaning
8	273	Section 763C	manages financial risk	3.1 - term given Act-wide meaning
8	274	Subsection 763D(1)	makes non-cash payments	3.1 - term given Act-wide meaning
8	275	Subsection 763D(2)	makes non-cash payments	3.1 - term given Act-wide meaning

8	276	Subsection 764A(1)	financial product	3.2 - term with different meanings in different areas of the Act
8	277	Paragraphs 764A(1)(d), (e) and (f)	life policy	3.1 - term given Act-wide meaning
8	277	Paragraphs 764A(1)(d), (e) and (f)	sinking fund policy	3 - all terms in s 9
8	278	Paragraph 764A(1)(g)	superannuation product	3.1 - term given Act-wide meaning
8	279	Paragraph 764A(1)(h)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	280	Paragraph 764A(1)(m)	financial product	3.2 - term with different meanings in different areas of the Act
8	281	Subsection 764A(3)	financial product	3.2 - term with different meanings in different areas of the Act
8	282	Subsection 765A(1)	financial product	3.2 - term with different meanings in different areas of the Act
8	283	Subparagraph 765A(1)(v)(ii)	life policy	3.1 - term given Act-wide meaning
8	283	Subparagraph 765A(1)(v)(ii)	sinking fund policy	3 - all terms in s 9
8	284	Subsection 765A(2)	financial product	3.2 - term with different meanings in different areas of the Act
8	285	Subsection 766A(1)	financial service	3.3 - term used with same meaning throughout Act
8	286	Subsection 766B(1)	financial product advice	3.1 - term given Act-wide meaning
8	287	Subsection 766B(3)	personal advice	3.3 - term used with same meaning throughout Act
8	288	Subsection 766B(3A)	personal advice	3.3 - term used with same meaning throughout Act
8	289	Subsection 766B(4)	general advice	3.3 - term used with same meaning throughout Act
8	290	Subsection 766C(1)	dealing	3.2 - term with different meanings in different areas of the Act
8	291	Subsection 766D(1)	makes a market	3.1 - term given Act-wide meaning
8	292	Paragraph 766E(3)(c)	AFCA superannuation scheme	3.2 - term with different meanings in different areas of the Act
8	292	Paragraph 766E(3)(c)	approved deposit fund	3.3 - term used with same meaning throughout Act
8	292	Paragraph 766E(3)(c)	pooled superannuation trust	3.3 - term used with same meaning throughout Act
8	292	Paragraph 766E(3)(c)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	293	Subsection 767A(1)	financial market	3.3 - term used with same meaning throughout Act
8	294	Subsection 767A(2)	financial market	3.3 - term used with same meaning throughout Act
8	295	Subsection 768A(1)	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	296	Subsection 768A(2)	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	297	Section 790A	clearing and settlement arrangements	4 - consistent and intuitive headings for definition sections
8	298	Paragraph 792A(1)(h)	widely held market body	3 - all terms in s 9

8	301	Subsection 795E(2)	Australian market licence	3.3 - term used with same meaning throughout Act
8	304	Section 820D (heading)	operated in this jurisdiction	4 - consistent and intuitive headings for definition sections
8	305	Subsection 820D(1)	operated in this jurisdiction	3.1 - term given Act-wide meaning
8	306	Subsection 820D(1) and (2)	operated in this jurisdiction	6 - bold italics only used when defining
8	307	Paragraph 821A(1)(g)	widely held market body	3 - all terms in s 9
8	310	Section 824E	clearing and settlement facility	3.3 - term used with same meaning throughout Act
8	313	Section 850A	widely held market body	4 - consistent and intuitive headings for definition sections
8	314	Subsection 850B(1)	unacceptable control situation	3.1 - term given Act-wide meaning
8	315	Section 850D	unacceptable control situation	4 - consistent and intuitive headings for definition sections
8	317	Section 853A (heading)	disqualified individual	4 - consistent and intuitive headings for definition sections
8	318	Section 853A	disqualified individual	3.3 - term used with same meaning throughout Act
8	319	Section 853B (heading)	involved in	4 - consistent and intuitive headings for definition sections
8	320	Section 853B	involved in	3.3 - term used with same meaning throughout Act
8	321	Subsection 853C(1)	disqualified	6 - bold italics only used when defining
8	322	Section 880B	adequate	3.1 - term given Act-wide meaning
8	322	Section 880B	borrowing	3.2 - term with different meanings in different areas of the Act
8	322	Section 880B	compensation arrangements	3.1 - term given Act-wide meaning
8	322	Section 880B	compensation rules	3.1 - term given Act-wide meaning
8	322	Section 880B	Division 3 arrangements	3.1 - term given Act-wide meaning
8	322	Section 880B	Division 3 loss	3.1 - term given Act-wide meaning
8	322	Section 880B	Division 4 arrangements	3.1 - term given Act-wide meaning
8	322	Section 880B	fidelity fund	3.1 - term given Act-wide meaning
8	322	Section 880B	NGF	3.1 - term given Act-wide meaning
8	322	Section 880B	operating rules	3.3 - term used with same meaning throughout Act
8	322	Section 880B	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	322	Section 880B	pay	2 - no defined term if not frequently used
8	322	Section 880B	SEGC	3.1 - term given Act-wide meaning
8	323	Section 880BA	compensation arrangements	3.1 - term given Act-wide meaning
8	325	Subparagraph 883B(1)(c)(i)	clearing and settlement arrangements	3.3 - term used with same meaning throughout Act
8	326	Section 883C	pay	2 - no defined term if not frequently used
8	329	Subparagraph 885A(2)	arrangements	6 - bold italics only used when defining

8	330	Subsection 885A(3)	compensation rules	6 - bold italics only used when defining
8	331	Subsection 885B(1)	adequate	6 - bold italics only used when defining
8	332	Paragraph 885B(1)(e)	pay	2 - no defined term if not frequently used
8	333	Subsection 885E(1)	pay	2 - no defined term if not frequently used
8	334	Subsection 885E(7)	compensation in respect of a Division 3 loss	2 - no defined term if not frequently used
8	335	Subsection 885F(1)	pay	1 - not defined if ordinary meaning sufficient or term is redundant
8	336	Paragraph 886A(a)	compensation in respect of a Division 3 loss	2 - no defined term if not frequently used
8	337	Subsection 890A(1)	Securities and Exchanges Guarantee Corporation	6 - bold italics only used when defining
8	339	Section 892A	Meaning of Part 7.5 authority	4 - consistent and intuitive headings for definition sections
8	339	Section 892A	Meaning of Part 7.5 regulated fund	4 - consistent and intuitive headings for definition sections
8	340	Section 892B (heading)	Part 7.5 regulated fund	5 - intuitive labelling
8	341	Subsection 892B(1)	Part 7.5 authority	5 - intuitive labelling
8	342	Subsection 892B(2)	Part 7.5 regulated fund	5 - intuitive labelling
8	343	Subsection 892B(3)	Part 7.5 authority	5 - intuitive labelling
8	344	Section 892C	Part 7.5 authority	5 - intuitive labelling
8	344	Section 892C	Part 7.5 regulated fund	5 - intuitive labelling
8	345	Section 892D (heading)	Part 7.5 authority	5 - intuitive labelling
8	346	Subsection 892D(1)	Division 4 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	346	Subsection 892D(1)	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	347	Subsection 892D(1)	Part 7.5 authority	5 - intuitive labelling
8	348	Subsections 892D(4), (5) and (6)	Part 7.5 authority	5 - intuitive labelling
8	349	Subsection 892D(6)	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	350	Subsections 892D(7) and (8)	Part 7.5 authority	5 - intuitive labelling
8	351	Section 892F (heading)	Part 7.5 authority	5 - intuitive labelling
8	352	Subsection 892F(1)	Division 3 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	352	Subsection 892F(1)	Division 4 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	352	Subsection 892F(1)	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	353	Subsection 892F(2)	Part 7.5 authority	5 - intuitive labelling
8	354	Subsections 892G(1) and (2)	Part 7.5 regulated fund	5 - intuitive labelling
8	355	Section 892H	Division 3 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	355	Section 892H	Division 4 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant

8	355	Section 892H	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	356	Section 892I	Division 3 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	356	Section 892I	Part 7.5 arrangements	1 - not defined if ordinary meaning sufficient or term is redundant
8	357	Subsection 901A(5)	execution requirements	3.1 - term given Act-wide meaning
8	358	Subsection 901A(6)	reporting requirements	3.1 - term given Act-wide meaning
8	359	Subsection 901A(7)	clearing requirements	3.1 - term given Act-wide meaning
8	360	Subsection 905E(2)	derivative trade repository	3.3 - term used with same meaning throughout Act
8	363	Subsection 908BE(2)	financial benchmark	3.3 - term used with same meaning throughout Act
8	364	Paragraph 908DA(2)(b)	Australian entity	2 - no defined term if not frequently used
8	365	Paragraph 908DB(2)(b)	Australian entity	2 - no defined term if not frequently used
8	366	Paragraph 908DD(2)(c)	Australian entity	2 - no defined term if not frequently used
8	367	Section 910A	basic banking product	3.1 - term given Act-wide meaning
8	367	Section 910A	body corporate licensee	3.1 - term given Act-wide meaning
8	367	Section 910A	cancellation time	3.1 - term given Act-wide meaning
8	367	Section 910A	class of product advice	3.1 - term given Act-wide meaning
8	367	Section 910A	Code of Ethics	3.1 - term given Act-wide meaning
8	367	Section 910A	consumer credit insurance	3.1 - term given Act-wide meaning
8	367	Section 910A	core obligation	3.1 - term given Act-wide meaning
8	367	Section 910A	CPD provision	3.1 - term given Act-wide meaning
8	367	Section 910A	CPD year	3.1 - term given Act-wide meaning
8	367	Section 910A	education and training standards	3.3 - term used with same meaning throughout Act
8	367	Section 910A	Financial Services and Credit Panel	3.3 - term used with same meaning throughout Act
8	367	Section 910A	foreign qualification	3.1 - term given Act-wide meaning
8	367	Section 910A	limited-service time-sharing adviser	3.1 - term given Act-wide meaning
8	367	Section 910A	notice provision	2 - no defined term if not frequently used
8	367	Section 910A	prohibition end day	3.1 - term given Act-wide meaning
8	367	Section 910A	proposed action notice	3.3 - term used with same meaning throughout Act
8	367	Section 910A	provisional relevant provider	3.1 - term given Act-wide meaning
8	367	Section 910A	qualified tax relevant provider	3.1 - term given Act-wide meaning
8	367	Section 910A	recent advising history	3.1 - term given Act-wide meaning
8	367	Section 910A	Register of Relevant Providers	3.3 - term used with same meaning throughout Act
8	367	Section 910A	registration prohibition order	3.1 - term given Act-wide meaning
8	367	Section 910A	registration suspension order	3.1 - term given Act-wide meaning

8	367	Section 910A	relevant financial products	3.2 - term with different meanings in different areas of the Act
8	367	Section 910A	relevant provider	3.3 - term used with same meaning throughout Act
8	367	Section 910A	reportable situation	3.3 - term used with same meaning throughout Act
8	367	Section 910A	representative	3.3 - term used with same meaning throughout Act
8	367	Section 910A	response period	3.2 - term with different meanings in different areas of the Act
8	367	Section 910A	restricted civil penalty provision	3.3 - term used with same meaning throughout Act
8	367	Section 910A	supervisor	3.1 - term given Act-wide meaning
8	367	Section 910A	suspension period	3.1 - term given Act-wide meaning
8	367	Section 910A	tax (financial) advice service	3.1 - term given Act-wide meaning
8	367	Section 910A	Tax Practitioners Board	3.1 - term given Act-wide meaning
8	368	Section 910D	Insurance fulfilment provider	2 - no defined term if not frequently used
8	369	Paragraph 910D(a)	Insurance fulfilment provider	2 - no defined term if not frequently used
8	370	Subsection 911D(1)	in this jurisdiction	6 - bold italics only used when defining
8	371	Subsection 911D(2)	in this jurisdiction	6 - bold italics only used when defining
8	372	Paragraph 912A(1)(cb)	person with responsibilities in relation to an Australian passport fund	2 - no defined term if not frequently used
8	373	Subsection 912A(6)	person with responsibilities in relation to an Australian passport fund	2 - no defined term if not frequently used
8	373	Subsection 912A(6)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	373	Subsection 912A(6)	RSE licensee	3.1 - term given Act-wide meaning
8	375	Subsection 912DAA(8)	knowledge	3 - all terms in s 9
8	375	Subsection 912DAA(8)	recklessness	3 - all terms in s 9
8	376	Subsection 912DAB(9)	knowledge	3 - all terms in s 9
8	376	Subsection 912DAB(9)	recklessness	3 - all terms in s 9
8	378	Subsection 912EA(6)	knowledge	3 - all terms in s 9
8	378	Subsection 912EA(6)	recklessness	3 - all terms in s 9
8	379	Subsection 912EB(12)	knowledge	3 - all terms in s 9
8	379	Subsection 912EB(12)	recklessness	3 - all terms in s 9
8	387	Paragraph 915C(1)(e)	person with responsibilities in relation to an Australian passport fund	2 - no defined term if not frequently used
8	388	Subsection 915C(5)	person with responsibilities in relation to an Australian passport fund	2 - no defined term if not frequently used
8	390	Subsection 917A(2)	within authority	6 - bold italics only used when defining
8	391	paragraphs 917A(3)(d) and (e)	within authority	6 - bold italics only used when defining

8	393	Subsection 921B(4) (note)	provisional relevant provider	3.1 - term given Act-wide meaning
8	394	Subsection 922L(1) (not including the notes)	notice provision	2 - no defined term if not frequently used
8	394	Subsection 922L(1) (not including the notes)	notice provision	6 - bold italics only used when defining
8	395	Paragraph 922M(1)(a)	notice provision	2 - no defined term if not frequently used
8	396	Subsection 922M(1) (note)	notice provision	2 - no defined term if not frequently used
8	399	Paragraph 946AA(1)(c)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	399	Paragraph 946AA(1)(c)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	400	Paragraph 947D(1)(b)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	401	Subsection 947D(5)	MySuper product	3.3 - term used with same meaning throughout Act
8	402	Section 952B (heading)	defective	4 - consistent and intuitive headings for definition sections
8	402	Section 952B (heading)	disclosure document or statement	4 - consistent and intuitive headings for definition sections
8	403	Section 953A (heading)	defective	4 - consistent and intuitive headings for definition sections
8	403	Section 953A (heading)	disclosure document or statement	4 - consistent and intuitive headings for definition sections
8	404	Section 960	anniversary day	3.3 - term used with same meaning throughout Act
8	404	Section 960	asset-based fee	3.3 - term used with same meaning throughout Act
8	404	Section 960	basic banking product	3.1 - term given Act-wide meaning
8	404	Section 960	benefit ratio	3.1 - term given Act-wide meaning
8	404	Section 960	conflicted remuneration	3.1 - term given Act-wide meaning
8	404	Section 960	consumer credit insurance	3.1 - term given Act-wide meaning
8	404	Section 960	custodian	3.2 - term with different meanings in different areas of the Act
8	404	Section 960	fee disclosure statement	3.3 - term used with same meaning throughout Act
8	404	Section 960	fee recipient	3.2 - term with different meanings in different areas of the Act
8	404	Section 960	group life policy for members of a superannuation entity	2 - no defined term if not frequently used
8	404	Section 960	life policy for a member of a default superannuation entity	2 - no defined term if not frequently used
8	404	Section 960	ongoing fee	3.3 - term used with same meaning throughout Act
8	404	Section 960	ongoing fee arrangement	3.3 - term used with same meaning throughout Act

8	404	Section 960	policy cost	3.1 - term given Act-wide meaning
8	404	Section 960	reasonable investigation	3.1 - term given Act-wide meaning
8	404	Section 960	reasonably apparent	3.1 - term given Act-wide meaning
8	404	Section 960	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	404	Section 960	renewal period	3.1 - term given Act-wide meaning
8	404	Section 960	representative	3.3 - term used with same meaning throughout Act
8	404	Section 960	responsible licensee	3.1 - term given Act-wide meaning
8	404	Section 960	volume-based shelf-space fee	3.3 - term used with same meaning throughout Act
8	405	Section 961C	reasonably apparent	3.2 - term with different meanings in different areas of the Act
8	406	Section 961P	responsible licensee	3.2 - term with different meanings in different areas of the Act
8	407	Subparagraph 963B(1)(b)(ii)	life policy for a member of a default superannuation entity	2 - no defined term if not frequently used
8	408	Sub-subparagraph 963B(1)(b)(iii)(B)	clawback requirements	3 - all terms in s 9
8	409	Subsections 963B(2) and (3)	life policy for a member of a default superannuation entity	2 - no defined term if not frequently used
8	410	Section 963BA (heading)	benefit ratio requirements and clawback requirements	4 - consistent and intuitive headings for definition sections
8	411	Section 964H	reasonably apparent	3.2 - term with different meanings in different areas of the Act
8	413	Subsection 981D(2)	derivative client retail money	2 - no defined term if not frequently used
8	414	Subsection 981J(1)	derivative client retail money	2 - no defined term if not frequently used
8	415	Subsection 984B(3)	derivative client retail money	2 - no defined term if not frequently used
8	416	Section 985A (heading)	contract of insurance and insured	4 - consistent and intuitive headings for definition sections
8	417	Paragraph 985A(2)(a)	life policy	3.1 - term given Act-wide meaning
8	418	Section 989A	financial year	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	appropriate	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	complaint	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	dealing	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	distribution condition	2 - no defined term if not frequently used
8	421	Section 994A	distribution information	3.1 - term given Act-wide meaning
8	421	Section 994A	excluded conduct	3.1 - term given Act-wide meaning
8	421	Section 994A	excluded dealing	2 - no defined term if not frequently used
8	421	Section 994A	financial product	3.2 - term with different meanings in different areas of the Act

8	421	Section 994A	MySuper product	3.3 - term used with same meaning throughout Act
8	421	Section 994A	regulated person	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	regulated sale	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	reporting period	3.2 - term with different meanings in different areas of the Act
8	421	Section 994A	retail product distribution conduct	3.1 - term given Act-wide meaning
8	421	Section 994A	review period	3.1 - term given Act-wide meaning
8	421	Section 994A	review triggers	3.1 - term given Act-wide meaning
8	421	Section 994A	target market	3.1 - term given Act-wide meaning
8	421	Section 994A	target market determination	3.3 - term used with same meaning throughout Act
8	422	Subsection 994AA(1)	financial product	3.2 - term with different meanings in different areas of the Act
8	423	Paragraph 994B(1)(ba)	target market determination for financial products	3.3 - term used with same meaning throughout Act
8	424	Subsection 994B(8A)	appropriate	3.2 - term with different meanings in different areas of the Act
8	425	Paragraph 994G(b)	excluded conduct	3.1 - term given Act-wide meaning
8	426	Section 994K	provisions of this Part	2 - no defined term if not frequently used
8	427	Section 994L(8)	provisions of this Part	2 - no defined term if not frequently used
8	428	Section 1010C (heading)	sale or purchase	4 - consistent and intuitive headings for definition sections
8	429	Subsection 1010C(1)	sale or purchase	6 - bold italics only used when defining
8	430	Section 1011B	sale	3.2 - term with different meanings in different areas of the Act
8	430	Section 1011B	offer	3.3 - term used with same meaning throughout Act
8	430	Section 1011B	regulated person	3.2 - term with different meanings in different areas of the Act
8	430	Section 1011B	responsible person	3.1 - term given Act-wide meaning
8	430	Section 1011B	sale statements	3.1 - term given Act-wide meaning
8	431	Subsection 1012A(2)	client	3.3 - term used with same meaning throughout Act
8	431	Subsection 1012A(2)	recommendation situation	3.1 - term given Act-wide meaning
8	431	Subsection 1012A(2)	relevant conduct	3.1 - term given Act-wide meaning
8	432	Subsection 1012B(2)	client	3.3 - term used with same meaning throughout Act
8	432	Subsection 1012B(2)	issue situation	3.1 - term given Act-wide meaning
8	432	Subsection 1012B(2)	relevant conduct	4 - consistent and intuitive headings for definition sections
8	433	Section 1012I (heading)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant

8	433	Section 1012I (heading)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	434	Subsection 1012I(2)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	434	Subsection 1012I(2)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	435	Subsections 1013A(1) and (2)	issue statement	3.1 - term given Act-wide meaning
8	435	Subsections 1013A(1) and (2)	sale Statement	3.1 - term given Act-wide meaning
8	436	Subsection 1013A(3)	responsible person	3.1 - term given Act-wide meaning
8	438	Subsection 1016A(1) (paragraph (d) of the definition of relevant financial product)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	438	Subsection 1016A(1) (paragraph (d) of the definition of relevant financial product)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	439	Subsection 1016A(1)	RSA provider	3.1 - term given Act-wide meaning
8	439	Subsection 1016A(1)	standard employer-sponsor	3.1 - term given Act-wide meaning
8	439	Subsection 1016A(1)	standard employer-sponsored fund	3.1 - term given Act-wide meaning
8	439	Subsection 1016A(1)	standard employer-sponsored member	3.1 - term given Act-wide meaning
8	440	Subparagraphs 1016A(2)(e)(i) and (iii)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	440	Subparagraphs 1016A(2)(e)(i) and (iii)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	441	Subsection 1017B(9) (definition of MySuper product)	MySuper product	3.3 - term used with same meaning throughout Act
8	442	Subsection 1017BA(5)	choice product	3.3 - term used with same meaning throughout Act
8	442	Subsection 1017BA(5)	life policy	3.1 - term given Act-wide meaning
8	442	Subsection 1017BA(5)	MySuper product	3.3 - term used with same meaning throughout Act
8	442	Subsection 1017BA(5)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	443	Subsection 1017BB(6)	MySuper product	3.3 - term used with same meaning throughout Act
8	443	Subsection 1017BB(6)	pooled superannuation trust	3.3 - term used with same meaning throughout Act
8	444	Subsection 1017BB(6) (definition of small APRA fund)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	445	Section 1017C (heading)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	445	Section 1017C (heading)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant

8	446	Section 1017C(1)(b)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	446	Section 1017C(1)(b)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	447	Subsection 1017C(2A) (heading)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	447	Subsection 1017C(2A) (heading)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	448	Subsection 1017C(2A)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	448	Subsection 1017C(2A)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	449	Subsection 1017C(3A) (heading)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	449	Subsection 1017C(3A) (heading)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	450	Subsection 1017C(3A)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	450	Subsection 1017C(3A)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	451	Subsection 1017C(5)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	451	Subsection 1017C(5)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	452	Subsection 1017C(9) (paragraph (b) of the definition of concerned person)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	452	Subsection 1017C(9) (paragraph (b) of the definition of concerned person)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	453	Subparagraph 1017D(1)(b)(iii)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	453	Subparagraph 1017D(1)(b)(iii)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	454	Subparagraphs 1017DA(1)(b)(i) and (ii)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	454	Subparagraphs 1017DA(1)(b)(i) and (ii)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	455	Subsection 1017DA(4)	RSA provider	3.1 - term given Act-wide meaning
8	456	Subparagraph 1019A(1)(a)(v)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	456	Subparagraph 1019A(1)(a)(v)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	457	Section 1019C	date of offer	1 - not defined if ordinary meaning sufficient or term is redundant

8	457	Section 1019C	offer to which this Division applies	1 - not defined if ordinary meaning sufficient or term is redundant
8	457	Section 1019C	offeree	1 - not defined if ordinary meaning sufficient or term is redundant
8	457	Section 1019C	offeror	3.2 - term with different meanings in different areas of the Act
8	458	Subsection 1019I(1)	date of offer	6 - bold italics only used when defining
8	459	Section 1020AA	crossing	2 - no defined term if not frequently used
8	459	Section 1020AA	section 1020B products	3.1 - term given Act-wide meaning
8	459	Section 1020AA	securities lending arrangement	3.1 - term given Act-wide meaning
8	460	Section 1020AH	CGS depository interest	4 - consistent and intuitive headings for definition sections
8	460	Section 1020AH	information statement	4 - consistent and intuitive headings for definition sections
8	460	Section 1020AH	regulated person	4 - consistent and intuitive headings for definition sections
8	461	Subsection 1020B(1)	section 1020B products	3.1 - term given Act-wide meaning
8	462	Section 1021B (heading)	defective	4 - consistent and intuitive headings for definition sections
8	462	Section 1021B (heading)	disclosure document or statement	4 - consistent and intuitive headings for definition sections
8	462	Section 1021B (heading)	regulated person	4 - consistent and intuitive headings for definition sections
8	463	Subsection 1021(B)(1) (definition of regulated person)	regulated person	3.2 - term with different meanings in different areas of the Act
8	464	Section 1022A (heading)	defective	4 - consistent and intuitive headings for definition sections
8	464	Section 1022A (heading)	disclosure document or statement	3.1 - term given Act-wide meaning
8	465	Subsection 1022A(1) (definition of regulated person)	regulated person	3.2 - term with different meanings in different areas of the Act
8	466	Section 1023B	ASIC Act financial product	2 - no defined term if not frequently used
8	466	Section 1023B	financial product	4 - consistent and intuitive headings for definition sections
8	466	Section 1023B	product intervention order	3.1 - term given Act-wide meaning
8	466	Section 1023B	regulated sale	3.2 - term with different meanings in different areas of the Act
8	467	Paragraph 1040B(1)(b)	Division 3 financial product	3.1 - term given Act-wide meaning
8	468	Paragraphs 1041F(3)(a) and (b)	superannuation entity	3.3 - term used with same meaning throughout Act
8	469	Paragraph 1041F(3)(c)	RSA	1 - not defined if ordinary meaning sufficient or term is redundant
8	469	Paragraph 1041F(3)(c)	RSA product	1 - not defined if ordinary meaning sufficient or term is redundant
8	470	Subparagraphs 1041H(2)(b)(iv) to (ix)	RSA provider	3.1 - term given Act-wide meaning

8	470	Subparagraphs 1041H(2)(b)(iv) to (ix)	standard employer-sponsor	3.1 - term given Act-wide meaning
8	470	Subparagraphs 1041H(2)(b)(iv) to (ix)	superannuation entity	3.3 - term used with same meaning throughout Act
8	471	Subsection 1041L(3)	concurrent wrongdoer	3.1 - term given Act-wide meaning
8	472	Section 1042A	able to be traded	3.3 - term used with same meaning throughout Act
8	472	Section 1042A	certain terms relating to the insider trading provisions	4 - consistent and intuitive headings for definition sections
8	472	Section 1042A	Division 3 financial products	3.1 - term given Act-wide meaning
8	472	Section 1042A	generally available	3.2 - term with different meanings in different areas of the Act
8	472	Section 1042A	information	3.2 - term with different meanings in different areas of the Act
8	472	Section 1042A	inside information	3.1 - term given Act-wide meaning
8	472	Section 1042A	material effect	3.2 - term with different meanings in different areas of the Act
8	472	Section 1042A	procure	3.2 - term with different meanings in different areas of the Act
8	472	Section 1042A	relevant Division 3 financial products	3.1 - term given Act-wide meaning
8	473	Section 1042C (heading)	generally available	4 - consistent and intuitive headings for definition sections
8	474	Section 1042D (heading)	material effect	4 - consistent and intuitive headings for definition sections
8	475	Section 1042E (heading)	able to be traded	4 - consistent and intuitive headings for definition sections
8	476	Section 1042F (heading)	procure	4 - consistent and intuitive headings for definition sections
8	477	Paragraphs 1043A(1)(b) and (2)(b)	procure	3.2 - term with different meanings in different areas of the Act
8	478	Section 1050 (note)	AFCA	3.1 - term given Act-wide meaning
8	478	Section 1050 (note)	AFCA scheme	3.1 - term given Act-wide meaning
8	479	Paragraph 1052E(1)(b)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	480	Paragraph 1053(1)(a)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	481	Subparagraph 1053(1)(a)(i)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	482	Subsection 1053(1)(note 2)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	483	Section 1053A (heading)	AFCA superannuation scheme	3.2 - term with different meanings in different areas of the Act
8	483	Section 1053A (heading)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	484	Section 1053A	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	485	Paragraph 1054(1)(b)	AFCA superannuation scheme	3.2 - term with different meanings in different areas of the Act
8	485	Paragraph 1054(1)(b)	regulated superannuation fund	3.3 - term used with same meaning throughout Act

8	486	Paragraph 1055(7)(b)	AFCA superannuation scheme	3.2 - term with different meanings in different areas of the Act
8	486	Paragraph 1055(7)(b)	regulated superannuation fund	3.3 - term used with same meaning throughout Act
8	487	Subsection 1058(6)	investigating authority	2 - no defined term if not frequently used
8	488	Section 1073B	transfer	3.2 - term with different meanings in different areas of the Act
8	488	Section 1073B	transfer document	2 - no defined term if not frequently used
8	489	Section 1074B	transfer	3.2 - term with different meanings in different areas of the Act
8	489	Section 1074B	transfer document	3.2 - term with different meanings in different areas of the Act
8	490	Paragraph 1074F(a)	certificate cancellation provisions	2 - no defined term if not frequently used
8	491	Subsection 1101B(12) (definition of compensation rules)	compensation rules	3.1 - term given Act-wide meaning
8	492	Paragraph 1240C(2)(b)	issue situation	3.1 - term given Act-wide meaning
8	493	Subsection 1241B(3)	representative	3.3 - term used with same meaning throughout Act
8	494	Subsection 1241F(6)	regulated superannuation fund	3.2 - term with different meanings in different areas of the Act
8	494	Subsection 1241F(6)	RSE licensee	3.1 - term given Act-wide meaning
8	495	Subsection 1317GA(1)	fee recipient	3.2 - term with different meanings in different areas of the Act
8	496	Subsection 1317GB(1)	fee recipient	3.2 - term with different meanings in different areas of the Act
9	497	Section 9	foreign recognition scheme	3.1 - term given Act-wide meaning
9	497	Section 9	law of a recognised jurisdiction	3.1 - term given Act-wide meaning
9	497	Section 9	offeror	3.2 - term with different meanings in different areas of the Act
9	497	Section 9	offer securities	3.3 - term used with same meaning throughout Act
9	497	Section 9	recognised jurisdiction	3.1 - term given Act-wide meaning
9	498	Subsection 92(6)	securities	3.2 - term with different meanings in different areas of the Act
9	499	Section 1200A (heading)	Certain terms relating to mutual recognition of securities offers	4 - consistent and intuitive headings for definition sections
9	500	Subsection 1200A(1)	foreign recognition scheme	3.1 - term given Act-wide meaning
9	500	Subsection 1200A(1)	law of a recognised jurisdiction	3.1 - term given Act-wide meaning
9	500	Subsection 1200A(1)	offeror	3.2 - term with different meanings in different areas of the Act
9	500	Subsection 1200A(1)	recognised jurisdiction	3.1 - term given Act-wide meaning
9	500	Subsection 1200A(1)	securities	3.2 - term with different meanings in different areas of the Act
10	501	Section 9	APFRN	3.1 - term given Act-wide meaning

10	501	Section 9	Australian Passport Fund Registration Number	3.1 - term given Act-wide meaning
10	501	Section 9	collective investment fund	3.1 - term given Act-wide meaning
10	501	Section 9	consideration period	3.1 - term given Act-wide meaning
10	502	Section 9 (definition of home economy)	home economy	3.3 - term used with same meaning throughout Act
10	503	Section 9 (definition of home regulator)	home regulator	3.3 - term used with same meaning throughout Act
10	504	Section 9 (definition of host economy)	host economy	3.3 - term used with same meaning throughout Act
10	505	Section 9 (definition of host regulator)	host regulator	3.3 - term used with same meaning throughout Act
10	506	Section 9 (definition of Memorandum of Cooperation)	Memorandum of Cooperation	3.3 - term used with same meaning throughout Act
10	507	Section 9 (definition of operator)	operator	3.3 - term used with same meaning throughout Act
10	508	Section 9	participating economy	3.1 - term given Act-wide meaning
10	509	Section 9 (definition of passport fund)	passport fund	3.3 - term used with same meaning throughout Act
10	510	Section 9	proposed operator	3.1 - term given Act-wide meaning
10	510	Section 9	regulated CIS	3.1 - term given Act-wide meaning
10	511	Section 9 (definition of sub-fund)	sub-fund	3.2 - term with different meanings in different areas of the Act
10	512	Section 1210	participating economy	3 - all terms in s 9
10	512	Section 1210	participating economy	3.1 - term given Act-wide meaning
10	512	Section 1210	participating economy	4 - consistent and intuitive headings for definition sections
10	513	Section 1211A (heading)	passport rules	4 - consistent and intuitive headings for definition sections
10	514	Subsection 1213E(1)	managed investment scheme	6 - bold italics only used when defining
11	515	Section 9 (paragraph (i) of the definition of property)	property	3.2 - term with different meanings in different areas of the Act
11	516	Section 9	property recovery provisions	3.1 - term given Act-wide meaning
11	516	Section 9	winding up provisions	3.1 - term given Act-wide meaning
11	517	Subsection 92(3)	securities	3.2 - term with different meanings in different areas of the Act
11	518	Section 1233 (heading)	property	4 - consistent and intuitive headings for definition sections
11	519	Section 1233H (heading)	sub-fund	4 - consistent and intuitive headings for definition sections
11	520	Section 1233L (heading)	sub-fund	4 - consistent and intuitive headings for definition sections
11	521	Section 1236A (heading)	property and receiver subfund	4 - consistent and intuitive headings for definition sections
11	522	Section 1240	securities	3.2 - term with different meanings in different areas of the Act
11	523	Paragraph 1241J(1)(a)	non-licensee	6 - bold italics only used when defining

11	524	Paragraph 1241J(1)(b)	client	6 - bold italics only used when defining
11	525	Subsection 1241J(1)	corporate director	6 - bold italics only used when defining
12	526	Section 9	commercial-in confidence	3 - all terms in s 9
12	526	Section 9	compensation proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	compliance period	3.1 - term given Act-wide meaning
12	526	Section 9	contravention proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	detriment	3.2 - term with different meanings in different areas of the Act
12	526	Section 9	enforcement proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	infringement notice	3.2 - term with different meanings in different areas of the Act
12	526	Section 9	pecuniary penalty applicable	3.1 - term given Act-wide meaning
12	526	Section 9	penalty applicable	3.1 - term given Act-wide meaning
12	526	Section 9	public interest proceedings	3.1 - term given Act-wide meaning
12	526	Section 9	specified	3.3 - term used with same meaning throughout Act
12	527	Division 1 of Part 9.2	body corporate	3 - all terms in s 9
12	527	Division 1 of Part 9.2	decision	3 - all terms in s 9
12	527	Division 1 of Part 9.2	registered	3 - all terms in s 9
12	529	Paragraph 1317AAB(f)	trustee	2 - no defined term if not frequently used
12	530	Section 1317ADA (heading)	detriment	4 - consistent and intuitive headings for definition sections
12	531	Section 1317A	decision	2 - no defined term if not frequently used
12	532	Subsections 1317B(1) and (1A)	decision	2 - no defined term if not frequently used
12	533	Section 1317DAAA	compensation proceedings	4 - consistent and intuitive headings for definition sections
12	534	Section 1317DAA (heading)	disclosing entities	3 - all terms in s 9
12	535	Subsection 1317DAA(1)	compensation proceedings	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	compliance period	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	contravention proceedings	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	enforcement proceedings	3.1 - term given Act-wide meaning
12	535	Subsection 1317DAA(1)	infringement notice	3.2 - term with different meanings in different areas of the Act
12	535	Subsection 1317DAA(1)	penalty and disclosure proceedings	2 - no defined term if not frequently used
12	535	Subsection 1317DAA(1)	public interest proceedings	3.1 - term given Act-wide meaning
12	537	Subparagraphs 1317DAG(4)(b)(i) and (iii) and 4(d)(i) and (iii)	penalty and disclosure proceedings	2 - no defined term if not frequently used

12	538	Section 1363	the regulations	1 - not defined if ordinary meaning sufficient or term is redundant
12	539	Section 1367	prescribed	1 - not defined if ordinary meaning sufficient or term is redundant
13	540	Section 9 (definition of resolution)	resolution	3 - all terms in s 9