

## FFREEDOM OF INFORMATION – STATE OF PLAY:

KEY: **GREEN:** New requests **RED:** Overdue / due in 1 week **BLUE:** Finalised (since last SOP)

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
1.	1980	TO	s 47F	27/10/16	<p>I am writing to request under the FOI Act copies of material created to assist with the preparation of the Treasurer's speech to the Urban Development Institute of Australia "Keeping home ownership within reach" delivered on October 24. The request is limited to material not included in the speech that was delivered and published on the Treasurer's website. It is limited to material created between September 1, 2017 and October 24, 2017.</p> <p><b>Revised request received 23/08/2017:</b></p> <p>Copies of material created to assist with the preparation of the Treasurer's speech to the Urban Development Institute of Australia "Keeping home ownership within reach" delivered on October 24 2016.</p> <p>The request is limited to material created between September 1, 2016 and October 24, 2016.</p>	Treasurer's Office	Brendan Tegg	John Gallagher	1/12/2017: Documents combined and marked up in adobe	28 November 2016
2.	2162	CSRD	s 47F	28/06/2017	<p>I write to request copies of correspondence from 1 January 2017 to 28 June 2017 between the Treasurer, the Treasurer's office, the Department of Treasury and Tony (Anthony) Shepherd and anyone working for or representing the Menzies Research Centre.</p> <p><b>Clarification received 12/07:</b></p> <p>'I'm requesting copies of correspondence (such as letters, emails, attachments to emails) between:</p> <p>Tony Shepherd and anyone who is</p>	Jonathan Rollings	Amanda Maclean/Megan Hardy	John Gallagher	<p>26/07/2017: AO email sent, EOT sought from applicant</p> <p>28/07/2017: EOT agreed, new due date 28 August 2017</p> <p>04/08/2017: Followed up with AO</p> <p>25/08/2017: Charges sent</p> <p>28/08/2017: Payment details received, consultation to occur with third parties – have contacted AO</p> <p>11/09/2017: Charges sent to FAST for processing, third party consultation sent to MRC, MSB and TO response due 25 September 2017</p>	13 October 2017

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					<p>employed by or representing the Menzies Research Centre; and Treasury staff, staff in the Treasurer's office or the Treasurer himself</p> <p>From 1 January 2017 to 28 June 2017.'</p>				<p>11/09/2017: Charges received, receipt forwarded to applicant, clock restarted, new due date: 13 October 2017</p> <p>11/09/2017: MSB response received. 26/09/2017: MRC response received. Follow up sent to TO.</p> <p>03/10/2017: Followed up with TO, next steps advised to AO</p> <p>10/10/2017: Documents scheduled, consult sent to Tsy staff member, meeting set up with AO for tomorrow to discuss</p> <p>12/10/2017: Consultation sent to relevant SES</p> <p>13/10/2017: Followed up with TO, send documents for intended release</p> <p>3/11/2017: Followed up with TO</p> <p>1/12/2017: Updated decision letter sent to AO – now releasing draft MRC report as they had no concerns with release</p>	
3.	2170	MCD	s 47F	09/08/2017	<p>I am writing to request under the FOI Act a list of the titles and dates of emails on the Treasury email server with the word "Makin" in the title, or a derivative of that word such as Makin's.</p> <p>The request is limited to emails produced between July 1, 2015 and December 30, 2016.</p> <p><b>Clarification:</b> 'Let's limit it to the titles and dates of emails on the Treasury email server with the word "Makin" in the title, or a derivative of that word such as Makin's, referring to Tony Makin.'</p>	Warren Tease	Leah Wojcik	Sally Cook	<p>22/11/2017: Draft decision letter sent to AO for signature, content of email documents to be sent to TO for review. Have contacted AO to send documents through to FOI.</p>	30 November 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
4.	2180	SPD	s 47F	09/08/2017	<p>Documents including briefings, minutes and emails, relating to the issue of economic inequality in Australia, prepared for the Treasurer or the Treasurer's office, between 19 July 2017 and 9 August 2017.</p> <p>I can narrow the topic to "whether economic inequality (income, wealth or both) has worsened or improved in Australia". The material may relate to the Treasurer's response to the Leader of the Opposition's claim about worsening inequality in Australia over recent decades, made during the dates provided, but should not be limited only to that.</p>	Vicki Wilkinson	Nick Loan/Kamala	Sally Cook	<p>17/11/2017: Receipt issued to applicant, AO advised, decision due 1 December 2017</p> <p>28/11/2017: AO to send documents through for redaction</p> <p>30/11/2017: Decision sent to AO for sign off, documents sent to the TO for clearance</p> <p>1/12/2017: Signed decision letter received – ready to be finalised once clearance received from TO</p>	1 December 2017
5.	2184	LDO	s 47F	10/08/2017	<p><b>Transferred from ABS</b></p> <p>Emails, letters, meeting notes or phone call notes relating to the making of the Census and Statistics (Statistical Information) Direction 2017 by the Treasurer; and</p>	Tom Reid	Chris Leggett	John Gallagher	<p>22/08/2017: Request received by ABS</p> <p>22/08/2017: Transfer accepted by Treasury, DM advised</p> <p>04/09/2017: Request acknowledged</p> <p>12/09/2017: Applicant followed up status of request</p> <p>18/09/2017: AO contacted regarding next steps</p> <p>03/10/2017: AO to send documents through</p> <p>05/10/2017: Consultation with PMC for one document, response due 12 October 2017</p> <p>15/11/2017: Further documents sent to PMC for comment</p> <p>30/11/2017: PMC has provided comments on application of section 34 to the documents, however unable to open spreadsheet - have asked them to re-send spreadsheet</p> <p>1/12/2017: Decision letter to be drafted</p>	9 September 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
6.	2186	MECD	S 47F	31/08/2017	<p>I am seeking any document(s) held by Treasury that contain information to do with the housing component of the CPI, including but not limited to any discussion or correspondence that may have occurred with the Reserve Bank of Australia or its staff and/or the Australian Bureau of Statistics (ABS) or its staff.</p> <p>I am also seeking any document(s) held by Treasury relating to information about how to include or represent the housing component for the purposes of measuring or estimating inflation, where it differs in any way from the existing weighting or methodology current used by the ABS.</p> <p>Revised Scope:</p> <p>The scope for this FOI request can be narrowed by:</p> <ul style="list-style-type: none"> <li>-restricting it to any discussion or content that differs in any way, from how the current series CPI housing component is constructed. For example in Sept 1998 the ABS removed mortgage interest payments from the housing component CPI</li> <li>-restrict the date range to be from 1 January 2016 until now.</li> <li>-do not include duplicates</li> </ul>	Warren Tease	Thomas Williamson/	Sally Cook	<p>31/08/2017: Request received.</p> <p>04/09/2017: Acknowledge letter sent to applicant (by registered post). Line-area (MECD) contact. MECD confirmed as line-area. EOT sought from applicant (response due 11/9).</p> <p>06/09/2017: Next steps communicated to AO.</p> <p>11/09/2017: Applicant agreed to EOT.</p> <p>14/09/2017: Discussion with AO about narrowing scope of request.</p> <p>15/09/2017: Applicant has revised scope of the request. Forwarded to AO/DM for consideration.</p> <p>19/09/2017: Line area conducting search and retrieval.</p> <p>29/09/2017: Follow up sent to AO.</p> <p>6/10/2017: AO confirmed documents have been identified. Next steps communicated. Pending confirmation of third-party consults required. Charges to be issued.</p> <p>09/10/2017: Charges letter drafted and sent to AO for approval.</p> <p>10/10/2017: Charges issued to applicant. Clock stopped.</p> <p>18/10/2017: Applicant sent correspondence querying document release date</p> <p>19/10/2017: Payment details received, to be sent to FAST for processing</p> <p>23/10/2017: AO advised of payment</p> <p>2/11/2017: Draft schedule and documents sent to AO</p> <p>14/11/2017: Consultation sent to CoreLogic and ABS</p> <p><b>30/11/2017: Decision letter drafted – please send to AO for signature</b></p>	<b>9 December 2017 – FOR RELEASE NEXT WEEK</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
7.	2187	LDO	Chris Gentle (Right to Know)	15/08/2017	<b>Transferred from ABS</b> 'Correspondence, advice and ministerial communication related of the Treasurer's direction to the ABS with regard to its definition of "elector" for the Marriage Law Postal Survey'.	Tom Reid	Chris Leggett	John Gallagher	04/09/2017: Request transferred to Treasury from ABS, partial transfer accepted 04/09/2017: Request acknowledged.  27/09/2017: Applicant advised on information publicly available.  28/09/2017: Applicant requested to proceed with request.	14 September 2017
8.	2188	TBC	s 47F	30/08/2017	<p>Emails to and from all treasury.gov.au email accounts (including those in ministers' offices) to journalists, editors or other staff of the following media outlets since 1 August 2017:</p> <p>The Sydney Morning Herald The Melbourne Age The Herald-Sun The Daily Telegraph The Courier-Mail The Australian The Australian Financial Review</p> <p><b>Revised request received 11 September 2017</b></p> <p>I propose the following amendments to the request:</p> <ul style="list-style-type: none"> <li>• Reduce the time period to 1 August-15 August 2017</li> <li>• Relating only to email addresses of the corporate employers of journalists</li> <li>• Routine requests relating to subscriptions, transcripts, or to business matters unrelated to Treasury's policy responsibilities omitted</li> </ul>	Shannon Kenna	FOI	John Gallagher	<p>30/08/2017: Request received. 04/09/2017: Acknowledgement letter sent to applicant. 11/09/2017: Applicant refined scope of request. 18/09/2017: FOI search request lodged with IT, response due 25/09/2017 25/10/2017: 256 documents, 45 third parties 1/12/2017: Draft intention refuse letter to be drafted and signed off by SK</p>	29 September 2017.

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9.	2189	TO	s 47F	31/08/2017	A copy of the Review on Improving the Impact of the Financial Assistance Grants on Local Government Financial Sustainability conducted by the Commonwealth Grants Commission and provided to the Treasurer in December 2013.	Brendan Tegg	Nico Louw	Sally Cook	05/09/2017: Request transferred from CGC. 12/09/2017: Office accepted xfer 26/09/2017: Follow-up sent to AO 27/09/2017: AO response provided. Decision letter drafted.	2 October 2017
10.	2193	CITD	s 47F	17/08/2017	Please release (or update on the consultation website) all non-confidential submissions to the Stapled Structures consultation  ( <a href="http://treasury.gov.au/ConsultationsandReviews/Consultations/2017/Stapled-Structures">http://treasury.gov.au/ConsultationsandReviews/Consultations/2017/Stapled-Structures</a> )	Rob Raether	Sach Pepola	Sally Cook	17/09/2017: FOI request received. 19/09/2017: Acknowledgement letter sent to applicant. Line area (CITD) confirmed. Follow up sent to DM – pending confirmation of AO. 03/10/2017: AO conducting search and retrieval. 16/10/2017: Follow up sent to AO 22/11/2017: Follow up sent to AO 23/11/2017: Documents to be published shortly	18 September 2017
11.	2194	SPD	s 47F	18/09/2017	Please release documents contained in the file:  2017-FG-110-362 DOMESTIC ECONOMY – POLICY FOMULATION – BUDGET FOR WOMEN	Vicki Wilkinson	Nick Loan, Lisa Cantlon	Sally Cook	18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. 22/09/2017: DM/AO confirmed. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 27/10/2017: OAIC notified of EOT 22/11/2017: Followed up with AO 22/11/2017: PMC schedule sent to AO 27/11/2017: Schedule received	17 November 2017
12.	2195	SPD	s 47F	18/09/2017	Please release documents contained in the file:  2017-FG-84-1208 DOMESTIC ECONOMY – POLICY FOMULATION – IMMIGRATION – ASYLUM SEEKERS	Vicki Wilkinson	Mirren Allica	Sally Cook	22/11/2017: Request withdrawn.	Withdrawn.

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13.	2196	FG	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-FG-84-1337 DOMESTIC ECONOMY – POLICY FORMULATION – IMMIGRATION – PAPER ON POPULATION AND IMMIGRATION</p>	Vicki Wilkinson	Mirren Allica	John Gallagher	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. 22/09/2017: DM/AO confirmed. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 11/10/2017: Documents in scope identified. 12/10/2017: ITR letter sent to AO. 17/10/2017: Pending next steps from AO. 24/10/2017: Touch base with AO, waiting on next steps from DM 27/10/2017: OAIC notified of EOT 17/11/2017: ITR sent to AO 17/11/2017: ITR notified, clock stopped 23/11/2017: Revised request received, AO notified 05/12/2017 Further revision by applicant sought. 05/12/2017 Revised request received. AO notified</p>	<b>Clock stopped pending consultation, original due date: 17 November 2017</b>
14.	2197	FG	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-FG-115-738 DOMESTIC ECONOMY – ADVICE – INEQUALITY – BRIEFING</p>	Vicki Wilkinson	Nick Loan	Sally Cook	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. 22/09/2017: DM/AO confirmed. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 27/10/2017: OAIC notified of EOT 14/11/2017: Charges letter sent to AO for signature</p>	<b>Charges notified, clock stopped, original due date: 17 November 2017</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									16/11/2017: Charges notified, clock stopped	
15.	2198	SPD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-FG-115-777 DOMESTIC ECONOMY – ADDRESSES (PRESENTATIONS) – INEQUALITY – PRESENTATIONS AND TREASURY ARTICLES</p>	Vicki Wilkinson	Nick Loan	Sally Cook	<p>18/09/2017: FOI request received.</p> <p>19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted.</p> <p>22/09/2017: DM/AO confirmed.</p> <p>26/09/2017: Applicant agreed to EOT.</p> <p>27/09/2017: Next steps communicated to AO.</p> <p>10/10/2017: Contacted AO for progress update.</p> <p>27/10/2017: OAIC notified of EOT</p> <p>14/11/2017: ITR sent to AO for signature</p> <p>16/11/2017: ITR notified, Clock stopped pending ITR</p>	ITR notified, clock stopped, original due date: 17 November 2017
16.	2199	SPD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-FG-115-776 DOMESTIC ECONOMY – POLICY FORMULATION – INEQUALITY – RESEARCH</p>	Vicki Wilkinson	Nick Loan	Sally Cook	<p>18/09/2017: FOI request received.</p> <p>19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted.</p> <p>22/09/2017: DM/AO confirmed.</p> <p>26/09/2017: Applicant agreed to EOT.</p> <p>27/09/2017: Next steps communicated to AO.</p> <p>10/10/2017: Contacted AO for progress update.</p> <p>27/10/2017: OAIC notified of EOT</p> <p>14/11/2017: Charges sent to AO for signature</p> <p>16/11/2017: Charges notified, clock stopped</p>	Clock stopped pending payment of charges, original due date: 17 November 2017
17.	2200	SPD	s 47F	18/09/2017	Please release documents contained in the file:	Vicki Wilkinson	Nick Loan	Dan Bigna	<p>18/09/2017: FOI request received.</p> <p>19/09/2017: Acknowledgement and EOT Request sent to applicant.</p>	Clock stopped pending ITR, original due date: 17 November 2017



No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					2017-FG-115-775 DOMESTIC ECONOMY – POLICY FORMULATION – INEQUALITY – SENATE INQUIRY ON INCOME INEQUALITY				Line area contacted. 22/09/2017: DM/AO confirmed. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 27/10/2017: OAIC notified of EOT 14/11/2017: ITR sent to AO for signature 16/11/2017: ITR notified, clock stopped	
18.	2201	SRG	s 47F	18/09/2017	Please release documents contained in the file:  2017-SRG-791174849-2 MARKETS REGULATION – ANALYSIS – ROAD INFRASTRUCTURE	Hamish McDonald	Jongsok Oh	Sally Cook	18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. DM/AO confirmed. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 05/10/2017: Records team has indicated that the file ID and record class discrepancy is unclear. To discuss Monday with Brett/Simon. 24/10/2017: Discussed request with Records Mgt, files are the same 24/10/2017: Next steps communicated to AO 27/10/2017: OAIC notified of EOT, information sent to AO regarding charges, charges to be issued 7/11/2017: Charges sent for signature 10/11/2017: Documents sent to Infrastructure, Cabinet consultation to be carried out 13/11/2017: Scope re-examined, charges to be updated	<b>Clock stopped pending payment of charges, original due date: 17 November 2017</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									13/11/2017: Updated charges letter sent to AO 13/11/2017: Charges notified, clock stopped 27/11/2017: Infrastructure responded to consultation request	
19.	2202	FSD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-MG-90-16856 MARKETS REGULATION – POLICY FORUMATION – CORPORATIONS – CO-OPS WORKING GROUP</p>	Diane Brown	Ly Reeve (1 <sup>st</sup> ) & Arseni Matveev	Sally Cook	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. 21/09/2017: Line area contacted. DM/AO confirmed. Next steps communicated to AO. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 27/10/2017: OAIC notified of EOT 10/11/2017: Withdrawal to be sought 10/11/2017: Applicant would like to proceed with request, have written to applicant informally requesting they narrow the scope 13/11/2017: ITR to be issued 13/11/2017: ITR sent to AO for signature 14/11/2017: ITR notified, clock stopped 29/11/2017: Request withdrawn, no response from applicant within 14 day</p>	Withdrawn
20.	2203	IITD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-RG-1391015921 TAXATION AND INCOME SUPPORT – ADVICE – BOARD OF TAXATION</p>	Marisa Purvis-Smith	Johanna Travis	John Gallagher	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. Pending DM/AO confirmation. 26/09/2017: Applicant agreed to EOT.</p>	17 November 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									12/10/2017: BoT confirmed that content may be BoT related by nominally falls with IITD. IITD to confirm AO (communicated to Susan B) 27/10/2017: OAIC notified of EOT 30/10/2017: AO contacted regarding home for request 10/11/2017: Meeting request sent to AO 13/11/2017: Draft decision letter sent to AO	
21.	2205	TFD	s 47F	18/09/2017	Please release documents contained in the file:  2017-RG-383-1341 TAXATION AND INCOME SUPPORT – ADVICE – TAX POLICY – DIVIDEND IMPUTATION	Graeme Davis	Phillip Brooker (1 <sup>st</sup> ) & Nick Backhouse	Sally Cook	18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. 21/09/2017: Line area contacted. DM/AO confirmed. 22/09/2017: Next steps communicated to AO. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 27/10/2017: OAIC notified of EOT 31/10/2017: Charges letter sent to AO 1/11/2017: Charges issued, clock stopped	<b>Clock stopped pending payment of charges, original due date: 17 November 2017</b>
22.	2206	TFD	s 47F	18/09/2017	Please release documents contained in the file:  2017-RG-383-1918 TAXATION AND INCOME SUPPORT – ADVICE – TAX POLICY – ROAD PRICING	Graeme Davis	Phillip Brooker (1 <sup>st</sup> ) & Nick Backhouse	Sally Cook	18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. 21/09/2017: Line area contacted. DM/AO confirmed. 22/09/2017: Next steps communicated to AO. 26/09/2017: Applicant agreed to EOT. 27/09/2017: Next steps communicated to AO. 10/10/2017: Contacted AO for	<b>Clock stopped pending payment of charges, original due date: 17 November 2017</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									progress update. 27/10/2017: OAIC notified of EOT 30/10/2017: Charges letter sent to AO 30/10/2017: Charges issued, clock stopped	
23.	2207	IITD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-RG-386-15 TAXATION AND INCOME SUPPORT – LEGISLATION – ACNC ACT REVIEWS</p> <p><b>Revised request received 26/10/2017</b> Please release documents in the file that <u>relate to the timing of the ACNC Act review.</u></p>	Marisa-Purvis Smith	Rebecca Joiner	Sally Cook	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. Pending DM/AO confirmation. 26/09/2017: Applicant agreed to EOT. Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 11/10/2017: Documents in scope identified. 16/10/2017: ITR letter drafted and sent to AO. 20/10/2017: ITR issued, clock stopped 26/10/2017: Revised request received, clock restarted, new due date 22 November 2017 27/10/2017: AO advised of revised request 27/10/2017: OAIC notified of EOT 10/11/2017: Charges letter sent to AO for signature 13/11/2017: Charges issued, clock stopped</p>	<b>Clock stopped pending payment of charges, original due date: 22 November 2017</b>
24.	2209	IITD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-RG-412-124 TAXATION AND INCOME SUPPORT – POLICY FORMULATION – INSTANT ASSET WRITE-OFF – DATA</p>	Marisa-Purvis Smith	Tina Smith	Sally Cook	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. Pending DM/AO confirmation. 26/09/2017: Applicant agreed to EOT. Next steps communicated to AO.</p>	<b>Finalised</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									<p>16/09/2017: Follow up sent to AO.</p> <p>27/10/2017: OAIC notified of EOT</p> <p>27/10/2017: 3 documents in scope, 9 pages – charges to be waived.</p> <p>ATO to be consulted</p> <p>31/10/2017: Next steps advised to AO</p> <p>3/11/2017: Consultation with ATO and PMC to occur</p> <p>3/11/2017: AO contacted re consultation</p> <p>13/11/2017: PMC and ATO responded to consultation, ATO no concerns, PMC advised Cabinet exemption applies to both documents</p> <p>13/11/2017: Draft decision letter sent to AO</p> <p>17/11/2017: Decision sent.</p>	
25.	2210	IITD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-RG-309-191</p> <p>TAXATION AND INCOME SUPPORT – POLICY FORMULATION – REDUCED INPUT TAX CREDITS</p>	Marisa-Purvis Smith	L-J O'Rourke	Sally Cook	24/11/2017: Waiting signed decision letter.	
26.	2211	CITD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-RG-107-2852</p> <p>TAXATION AND INCOME SUPPORT – POLICY FORMULATION – RESOURCE TAXES – MINING WITHHOLDING TAX</p>	Rob Raether	Simon Foat	Sally Cook	<p>18/09/2017: FOI request received.</p> <p>19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. Pending DM/AO confirmation.</p> <p>26/09/2017: Applicant agreed to EOT.</p> <p>04/10/2017: Follow-up sent to line area for confirmation.</p> <p>17/10/2017: AO/DM confirmed. Next steps sent to AO</p> <p>27/10/2017: OAIC notified of EOT</p> <p>31/10/2017: Next steps communicated to AO, decision</p>	17 November 2017

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									making to commence (Charges to be waived), consultation with PMC to be had 3/11/2017: Documents sent to PMC and ATO for courtesy consultation, response due 10 November 2017 13/11/2017: ATO response received, follow up required with PMC 13/11/2017: PMC followed up, ITR sent to AO 23/11/2017: Second consultation sent to PMC for comment, response due 29 November 2017	
27.	2212	IITD	s 47F	18/09/2017	Please release documents contained in the file:  2017-RG-269-421 TAXATION AND INCOME SUPPORT – POLICY FORMILATION – TAX OFFICE – AUSTRALIAN CHARITIES AND NOT-FOR-PROFIT COMMISSION	Marisa-Purvis Smith	Rebecca Joiner	Sally Cook	18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. Pending DM/AO confirmation. 26/09/2017: Applicant agreed to EOT. Next steps communicated to AO. 10/10/2017: Contacted AO for progress update. 11/10/2017: Documents within scope identified. 16/10/2017: Charges letter drafted and sent to AO. 20/10/2017: Charges notified, clock stopped 27/10/2017: OAIC notified of EOT 26/10/2017: Charges contested 31/10/2017: DM advised of contested charges	<b>Due date for charges decision: 27 November 2017</b>  <b>Clock stopped pending payment of charges, original due date: 17 November 2017</b>
28.	2213	IITD	s 47F	18/09/2017	Please release documents contained in the file:  2017-RG-269-550	Marisa-Purvis Smith	Megan Fisher/Jesse Badawy/Tina	Sally Cook	18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. Line area contacted. Pending	<b>Clock stopped pending payment of charges, original due date: 23 November 2017</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					<p>TAXATION AND INCOME SUPPORT – POLICY FORMULATION – TAX OFFICE – PHOENIX LAW REFORM</p> <p><b>Revised request received 26/10/2017:</b> Please release documents in the file that relate to 'Director Identification Number':</p>		Smith		<p>DM/AO confirmation. 26/09/2017: Applicant agreed to EOT. Next steps communicated to AO. 28/09/2017: AO noted that this may fall to FSD. 09/10/2017: Confirmed as falling with IITD. 16/10/2017: Documents in scope identified. ITR letter sent to AO. 19/10/2017: ITR issued, clock stopped 27/10/2017: OAIC notified of EOT 26/10/2017: Revised request received, clock restarted 31/10/2017: Revised request sent to AO for consideration 1/11/2017: Charges to be issued, AO contacted 10/11/2017: Charges sent to AO for signature 13/11/2017: Charges notified, clock stopped 16/11/2017: Documents sent to PMC and the ATO Team via Cabinet 24/11/2017: Documents sent to ASIC for comment 29/11/2017: PMC consult followed up</p>	
29.	2214	TFD	s 47F	18/09/2017	<p>Please release documents contained in the file:</p> <p>2017-RG-383-1018 TAXATION AND INCOME SUPPORT – ADVICE – TAX POLICY - GST</p>	Graeme Davis	Phillip Brooker (1 <sup>st</sup> ) & Nick Backhouse	Sally Cook	<p>18/09/2017: FOI request received. 19/09/2017: Acknowledgement and EOT Request sent to applicant. 21/09/2017: Line area contacted. DM/AO confirmed. 22/09/2017: Next steps communicated to AO. 26/09/2017: Applicant agreed to EOT. 10/10/2017: Contacted AO for progress update. 27/10/2017: OAIC notified of EOT 30/10/2017: Charges letter sent to AO 30/10/2017: Charges issued, clock</p>	<b>Clock stopped pending payment of charges, original due date: 17 November 2017</b>

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									stopped	
30.	2216	SRG	S 47F	21/09/2017	<p>I request access to all correspondence dated 1 January 2017 to 21 September 2017 that mentions the Liddell power station and/or its potential closure in 2022 and possible five year operating extension and/or its ongoing running costs, decommissioning or site rehabilitation costs.</p> <p>Specifically, correspondence includes emails, briefings and minutes between the Department and the Minister's office.</p> <p>I also request access to internal department briefing documents and research that mentions Liddell power station and/or its potential closure in 2022 and possible five year operating extension and/or its ongoing running costs, decommissioning or site rehabilitation costs for the same time frame.</p> <p>Specifically briefing includes documents and research provided to the Secretary or Deputy Secretaries or First Assistant Secretaries.</p> <p>The Australian Conservation Foundation is a Non-Government Organisation, and I believe the correspondence to which we are requesting access relates to a matter of public debate, and disclosure would assist in public comment on or participating in the debate or discussion. As such, I am applying for a waiver of charges.</p> <p>I do not seek access to any officer's contact details in the course of this request.</p> <p><b>Refined Request:</b> At this stage I am happy to revise the scope to exclude duplicates, media clippings or other publicly available information.</p> <p><b>Refined request received 25/10/2017</b> Exclude drafts and documents which are not produced by Treasury</p>	Hamish McDonald	Lex Williamson and David de Silva	Sally Cook	<p>21/09/2017: Application received. Acknowledgement sent to applicant.</p> <p>27/09/2017: AO/DM advised.</p> <p>28/09/2017: Next steps communicated to AOs.</p> <p>29/09/2017: Search results returned by IT Security</p> <p>03/10/2017: TO notified of request. Request refined by applicant.</p> <p>10/10/2017: Refined results sent to FOI and AO.</p> <p>12/10/2017: EOT and refining scope of request letter to go to applicant.</p> <p>13/10/2017: EOT sought from applicant. Applicant consented to new due date of 31/10.</p> <p>20/10/2017: Discussed next steps with AO</p> <p>25/10/2017: Charges sent to AO</p> <p>25/10/2017: Advice sought from PMC, response due 2 November 2017</p> <p>26/10/2017: Charges issued, clock stopped (day 34)</p> <p>27/10/2017: OAIC notified of EOT</p> <p>9/11/2017: Charges sent to FAST for processing, further documents prepared for PMC consultation</p> <p>10/11/2017: Proposed PMC schedule sent to AO for review</p> <p>10/11/2017: Document 7 sent to TO for comment</p> <p>10/11/2017: Payment received</p> <p>13/11/2017: Further documents sent to PMC for consultation, response due 20 November 2017</p> <p>17/11/2017: Receipt of payment sent to applicant (receipt date 10 Nov 2017) – refund to be issued as decision outside statutory</p>	16 November 2017



No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
									<p>timeframe</p> <p>20/11/2017: AEMC consulted</p> <p>21/11/2017: Draft decision sent to AO</p> <p>30/11/2017: PMC responded to consultation request</p>	
31.	2217	Comms	s 47F	21/09/2017	<p>I request access to the list of organisations and numbers of their staff who attended the 2017-18 Federal Budget Stakeholder Lock-up on Tuesday 9 May 2017. I do not request access to the names of individuals who attended.</p> <p>The Australian Conservation Foundation is a Non-Government Organisation, and I believe the correspondence to which we are requesting access relates to a matter of public debate, and disclosure would assist in public comment on or participating in the debate or discussion. As such, I am applying for a waiver of charges.</p> <p>I do not seek access to any officer's contact details in the course of this request.</p> <p><b>Refined scope (17/10):</b></p> <p>'I rescope the request to only include organisations that had 3 or more representatives at the Budget Stakeholder Lockup.'</p>	Shannon Kenna	Angela Mason	Sally Cook	<p>21/09/2017: FOI request received. Acknowledgement sent to applicant.</p> <p>03/09/2017: Line area (comms) consulted. DM and AO confirmed. Next steps communicated to AO.</p> <p>10/10/2017: Informal ITR issued to applicant. Response due 13/10</p> <p>11/10/2017: Applicant wanting clarification around consultation process.</p> <p>13/10/2017: Line area confirmed ITR. ITR letter sent to DM/AO. Applicant refined scope of request.</p> <p>16/10/2017: Applicant asked to refine scope of request further. Pending response</p> <p>17/10/2017: request refined, charges to be issued</p> <p>19/10/2017: Charges sent to AO</p> <p>20/11/2017: Charges issued (day 20)</p> <p>10/11/2017: Payment received</p> <p>17/11/2017: Receipt of payment issued to applicant, due date 24 November 2017</p> <p>17/11/2017: Responses followed up with ACTU, BCA, Tax Institute</p> <p>28/11/2017: Followed up request with the Tax Institute</p> <p>28/11/2017: Decision sent to Shannon Kenna to be signed off.</p>	24 November 2017
32.	2220	MECD	s 47F	3/10/2017	I write to request under the Freedom of Information Act 1982 (the Act) a copy of the documents that constitute the	Warren Tease	Melissa Ljubic	Sally Cook	<p>17/11/2017: Receipt issued to applicant</p> <p>17/11/2017: Applicant phoned,</p>	30 November 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					<p>Treasury "analysis" referred to in the Treasurer's speech to the Business Council of Australia on 28 September 2017.</p> <p>In the speech the Treasurer referred to this analysis in the following terms:</p> <p><i>"Consistent with this commitment, earlier this year I tasked Treasury to complete a thorough analysis of what was happening with wage growth in Australia - or more accurately what was not happening. I asked them what were the factors weighing down wage growth and what would be the key drivers to boosting the take-home pay of Australians. This was work that they undertook with the RBA, the ABS, the Department of Employment and other government agencies."</i></p> <p>The documents I am requesting include, but are not limited to, briefs, attachments to briefs and reports prepared by the Treasury.</p> <p>Please note this request is limited to documents prepared after 1 April 2017.</p>				advised new due date 22/11/2017: Decision letter sent to AO/DM for approval	
33.	2221	MEG	s 47F	09/10/2017	<p>We seek access to Treasury research or other related relevant materials that model or quantify the implications for economic growth and other key indicators of reducing immigration from recent highs/or to a lower level.</p> <p>Similarly, we seek access to research or any other relevant materials that model or quantify the economic benefits and costs from the current high immigration intake.</p>	Michael Kouparitsas	Tanuja Doss and Lucy Lu	Sally Cook	09/10/2017: Request received. Acknowledgement sent to applicant. 10/10/2017: Sent to SPD – line area, DM/AO TBC. 20/10/2017: Clarification sought from applicant about request 1/11/2017: Applicant contacted about request 29/11/2017: No response from applicant re clarification of request, AO advised, response due from applicant by 1 Dec or request finalised.	Invalid
34.	2222	FID	s 47F	11/10/20	Any application in the last two years and associated correspondence made by any	Victoria	Hester Green	Sally Cook	11/10/2017: Request received. Acknowledgement letter sent.	Finalised.

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
				17	foreign person for the Treasurer's approval under the FIRB rules in relation to the following companies:1. Alpha Santanol Pty Ltd and the Alpha Santanol Unit Trust of which Alpha Santanol Pty Ltd is the trustee; and2. Beta Santanol Pty Ltd and the Beta Santanol Unit Trust, of which Beta Santanol Pty Ltd is the trustee.	Anderson			13/10/2017: Sent to FID SES for confirmation. DM/AO confirmed. Next steps communicated to AO. 23/10/2017: Charges sent to AO 30/10/2017: Charges notified, clock stopped 31/10/2017: Charges received, to be processed via FAST 10/11/2017: AO advised of charge payment, proceed to decision making 14/11/2017: Met with AO to discuss decision, letter to be drafted 15/11/2017: Payment processed for deposit, new due date 24 November 2017 15/11/2017: Decision letter sent to AO for signature 17/11/2017: Decision notified, matter finalised 24/11/2017: Applicant phoned about request, discussed options going forward	
35.	2223	IITD	s 47F	11/10/2017	Any written correspondence (excluding any attachments to emails) sent or received by Senior Executive Service staff to members of parliament (or their representatives) between 1 January 2017 and 14 June 2017 in relation to the tax deductibility status of environmental organisations.	Marisa Purvis-Smith	Ben Sharpe	Sally Cook	11/10/2017: Request received. Acknowledgement letter sent. 11/10/2017: Applicant responded to acknowledgement advising SES names in scope of request 23/10/2017: DM contacted 25/10/2017: AO email sent 27/11/2017: Discussed request with AO, decision making + PMC Schedule 30/11/2017: Documents marked up, full release decision to be drafted	10 November 2017 – FOR RELEASE NEXT WEEK
36.	2224	FSD	s 47F	11/10/2017	I wish to have access to the full documents provided to me in my earlier FOI request2063 (attached)  Additional context:	Diane Brown	James Mason/Ewa Orzechowska-Fischer	Sally Cook	13/10/2017: Email to DM sent, request acknowledged 16/10/2017: AO email sent 30/11/2017: John to follow up with James Mason	10 November 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					<ul style="list-style-type: none"> <li>The main factor against disclosure of some of the material was that the material had the potential to damage/interfere with deliberations about the Director Identification Number.</li> <li>Minister O'Dwyer announced the Government had committed to a DIN on September 12 this year.</li> <li>As the matter is now resolved and decided, I believe access the material no longer poses the risks earlier highlighted.</li> <li>Furthermore, the documents are from 2015. Since then the Government's Black Economy Taskforce has discussed a range of options related to phoenixing. Neither the Interim Report nor the additional policy options document discuss a Director Identification Number.</li> </ul> <p>As such, there is additional public interest in the matter (context for why these we not included etc).</p>					
37.	2226	RIPD	s 47F	24/10/2017	Documents relating to the calculation of valuation factor of 16 for converting defined benefit schemes into capital amounts for the transfer balance cap. This was part of the 2016 Budget changes. If the source material is unavailable I would like as much detail as possible on who calculated it, the presumed rate of return and presumed tax arrangements. I would also like material relating to why this factor is the same whether the defined benefit scheme is taxed or not and any deliberations considering the	Ian Beckett	Andrew Hunt/Alice Coddington	Sally Cook	24/10/2017: Request received 31/10/2017: DM advised of new request, acknowledgement sent 03/11/2017: AO advised of next steps 8/11/2017: Met with AO to discuss next steps 10/11/2017: Applicant contacted seeking withdrawal or refining of request	Withdrawn, pending administrative response.

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					suitability of the implied rate of return to that available in super funds and earned by vehicles such as the future fund. Thank you.				14/11/2017: Application withdrawn, information to be received administratively, AO advised of next steps 30/11/2017: Followed up response with AO	
38.	2227	CPD	s 47F	24/10/2017	As you may be aware, the Style manual, For authors, editors and printers was first published in 1966 and the current Sixth edition was published in 2002 on behalf of the former DEPARTMENT OF FINANCE AND DEREGULATION. It is my understanding that the purpose of the manual is to provide guidance for anyone, including government departments and agencies, faced with the task of preparing material for publication. I am researching the impact of that manual on the publications initiated by each individual Australian government department or agency. I hereby respectfully request, under the provisions of the FREEDOM OF INFORMATION ACT 1982, photocopies or other suitable copies of all documents and other media (including telephone recordings) on file in connection with policy documents, guidelines, directions and the like in connection with your Department's (or its forbears) implementation of the recommendations of the Style manual, For authors, editors and printers (all editions) since the inception of the manual to date. Such copies are to include, but not be limited to, copies of all documents and all any other media, including correspondence, memoranda, file or other notes, recordings and other electronic media, relating to communications, either in writing or verbally, between the employees or representatives of your department (or its forbears) and all any other parties and/or any other employees or representatives of other Commonwealth Government Departments and Agencies whom had occasion to be advised of matters in connection with the implementation of the recommendations of the Style manual. The requested information can be forwarded to the undersigned by email to kevin_pullen@hotmail.com. Thank you for your assistance in this matter.	Shannon Kenna	Angela Mason	Sally Cook	24/10/2017: Request received, DM advised, acknowledgement sent 30/11/2017: AO email to be sent, Dan to chat with Ange about next steps	23 November 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
39.	2228	CITD	Jak Dac (Right to Know)	24/11/2017	Revised request: '...documents in relation to double taxation US/AU regarding Australians Superannuation and sale of primary residence since Compulsory Superannuation has been implemented'	Lyn Kelley	Ronita Ram/Isabel Green	John Gallagher	27/10/2017: Request received, invalid, not a request for documents 30/10/2017: Applicant advised of invalid request 1/11/2017: Request received 20/11/2017: Acknowledgement sent, DM advised of new request 24/11/2017: Wrote to applicant seeking further clarification <b>24/11/2017: new request received, acknowledgement sent and request sent to line area</b> 7/12/2017: Applicant contacted to narrow the scope. Applicant responded to keep the scope, potentially going back to 1993.	25 December 2017
40.	2229	FSD	s 47F	3/11/2017	The information requested related to laws enacted by the Howard Government in the year 2000 about the duties of a newly appointed insurer, Avant Insurance Ltd., at the time of commencement of business by Avant on 1 January 2001 to take over medical defence and indemnity insurance from an insolvent insurer, United Medical Protection. I had purchased such insurance for many years from UMP and had paid for cover until 30 June 2001. Avant Insurance Ltd. did not make any contact with me in their role of taking over from UMP, they did not contact me during the balance of 6 months to the expiry of my insurance cover with UMP, I had to finance my own legal costs except for \$15,000 paid to me by UMP, despite \$35 million made available for the purpose of support of insolvent insurers by the Federal Government of Australia, of which UMP was the main insurer and, until the present time, they have denied any responsibility to me including current action to compensate for all of their deficiencies since they commenced business on 1 January 2001. I consider that their attitude was incredibly wrong and seek proof of their responsibilities in the information	Diane Brown	Mohita Zaheed	Sally Cook	2/11/2017: Request received 3/11/2017: Clarification sought from applicant 30/11/2017: Line area to be contact about providing wording in response to applicant's request	4 December 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					<p>requested.</p> <p><b>Revised request received 3/11/2017:</b></p> <p>The following information was available on the internet under the Heading of "Medical Defence and Indemnity Insurance.com.gov.au" and refers to the beginning of a financial crisis about the subject of Background to the Tort Reforms and Crisis in the Liability Insurance Market which commenced in 2000, led to United Medical Protection's insolvency that was completed in 2002 and was dealt with by the Howard Government, which passed a number of bills which dealt with the licensing and responsibilities of Avant Insurance Ltd., which commenced trading on 1 January 2001 and the provision of \$35 million in loans to rescue the situation of failing insurers of which my provider was United Medical Protection. What I am endeavouring to discover is the rules of conduct which applied to Avant Insurance Ltd., but were not applied to me because of negligence of the Insurer. I expect that a search by you into such headings as "Tort Reforms in Medical Liability Insurance, Medical Defence Insurance, Medical Indemnity Insurance, United Medical Protection or Avant Insurance Limited" are likely to yield relevant results. I hope that this information is of assistance to you.</p> <p><b>Revised request received 8/11/2017:</b></p> <p>Thank you for your effort to date concerning my request. The document which you sent deals with the correct subject, but is dated 2002 and the subject document will be dated 2000, or at the latest, 2001. I consider that you are close to finding the answer and, therefore, ask you to please persist a little longer as the truth is of great importance to me. The information which I have already provided is all that is necessary and I do not wish to add to your difficulties by providing unnecessary embellishment. The first available document in 2000 to 2001 bearing the same, or a similar, heading such as "Avant Insurance Ltd." will probably contain the</p>					

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					specifications of the duties of Avant Insurance Ltd. that I seek.					
41.	2230	RIPD	s 47F	1/11/2017	<ul style="list-style-type: none"> <li>• Please provide the decision made by former Minister for Superannuation, Mr Shorten which overturned the Parliamentary Joint Committee's recommendation about compensation for the people exposed to the Astarra Strategic Fund / Trio Capital Limited fraud. The time of the decision was early 2012 to June 2012.</li> <li>• Please provide document of the meeting held between Prime Minister Julia Gillard, National Secretary of the Australian Workers' Union Paul Howes, the AWU Greater NSW Branch Secretary Russ Collison and the Minister for Superannuation Bill Shorten in late 2009 to mid 2010. The meeting concerned "damage control" over the AWU 'officer's re-election fund' that was exposed to the Trio Capital Limited collapse.</li> <li>• Please provide the Minister for Superannuation Bill Shorten's decision (late 2009 to mid 2010) detailing how ASIC will use its "damage control" powers to manage the collapse of the Trio Capital Limited scheme and prevent potential damage to consumer confidence in the Australian financial system.</li> </ul>	Ian Beckett	Emily Hodges/Jonathan Przydacz	Sally Cook	1/11/2017: Request received 21/11/2017: Advice sought from RIPD 28/11/2017: AO email sent, AO conducting search and retrieval (IT global search request has been lodged with IT)	30 November 2017
42.	2231	CITD	s 47F	21/11/17	Documents detailing the Australian negotiation objectives, progress, decision making and outcomes of the 2001 amendment (protocol) of the Australian – USA Tax Treaty (effective 1 July 2003). Our interest is only on subject matter pertaining to US tax treatment of individual taxpayers, not businesses. Within this scope, documents of interest include: 1) Negotiation framing, strategy and preparation documents, 2) Proposal evaluations and recommendations, 3) Meeting minutes, 4) Any documents evaluating the impact of the Savings Clause on Australian residents (Article 1, Paragraph 3&4); and 5) Any documents pertaining to	Lynn Kelly		Dan Bigna	21/11/17: Request received 27/11/17: DM advised, acknowledgement sent	21 December 2017



No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					discussion of the US tax treatment of Superannuation in regards to the 2001 Tax Treaty amendment process.					
43.	2232	CITD	s 47F	21/11/17	Any documents relating to the clarification of the US tax treatment of Australian Superannuation undertaken pursuant to Article 24, Mutual Agreement Procedure of the Australia – USA Tax Treaty.	Lynn Kelly		Dan Bigna	21/11/17: Request received 28/11/17: DM advised, acknowledgement sent	21 December 2017
44.	2233	CITD	s 47F	21/11/17	Any documents pertaining to the US income tax treatment of Superannuation in regards to the 2001 Australia – US Agreement on Social Security (Totalisation Agreement).	Lynn Kelly		Dan Bigna	21/11/17: Request received 28/11/17: DM advised, acknowledgement sent	21 December 2017
45.	2234	CITD	s 47F	21/11/17	Any documents pertaining to a Privacy Impact Assessment (PIA) of the US Foreign Account Tax Compliance Act (FATCA), particularly in regards to actions, response or close-out taken on the specific recommendations, Items 26-29, made to Treasury by the Office of the Australian Information Commissioner (OAIC) submission on FATCA, September 2012. (Reference: <a href="https://www.oaic.gov.au/engage-with-us/submissions/intergovernmental-agreement-to-implement-fatca">https://www.oaic.gov.au/engage-with-us/submissions/intergovernmental-agreement-to-implement-fatca</a> ).	Lynn Kelly		Dan Bigna	21/11/17: Request received 28/11/17: DM advised, acknowledgement sent	21 December 2017
46.	2235	CPD	s 47F	21/11/17	s 47F	N/A	N/A	Sally Cook	21/11/17: Request received 28/11/2017: Contacted applicant about request	21 December 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					s 47F					
47.	2236	FID	s 47F	28/11/17	Dear Treasury, I write to make an application for information under the Freedom of Information Act 1982. Please provide: Any and all documents, correspondence or information that refers or pertains to the HNA Group, a conglomerate headquartered in Haikou, China. This request includes the HNA Aviation Group Co. Ltd, a division of HNA Group. The information requested includes but is not limited to: FIRB decisions FIRB applications Any correspondence with HNA Group Any correspondence with other parties that refer to or pertain to HNA Group Any advice provided to government ministers relating to HNA Group. That the terms of your search is expanded to also include the company <b>HNA Innovation Ventures (Hong Kong) Co., Limited.</b>	Trevor Thomas		Dan Bigna	28/11/17: Request received, acknowledgement sent and EOT sought.  29/11/17: Applicant responded to expand the search to 'also include the company HNA Innovation Ventures (Hong Kong) Co., Limited.' Applicant agreed to EOT to 13 January 2018  30/11/17: contacted applicant seeking revision of scope.	13 January 2018
48.	2237	FSD	s 47F	30/11/17	Documents, including briefings, memos and/or correspondence, detailing the pros and cons and/or arguments for and against a royal commission, tribunal or other form of official inquiry into the Australian banking system. I would like to confine my request to documents created within the last 10 days and exclude duplicates and statements already provided to the media	Diane Brown	Mohita Zaheed	Dan Bigna	30/11/17: Request received,  30/11/17: AO email sent including request for extension.  1/12/17: extension accepted by the applicant.	29 January 2018
49.	2238	FSD	s 47F	21/11/17	"The Australian Bankers' Association seeks those documents which explains the detail of a Treasury assumption that resulted in the figure of ""2,535 accountable persons (total)"" as stated on page 74 of Appendix B – Costing assumptions, in the Regulatory Impact Statement (RIS) included in the TREASURY LAWS AMENDMENT (BANKING	James Kelly	Kate Walls	Dan Bigna	4/12/17: Request received.  4/12/17: AO email sent including request for extension.	21 December 2017

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					<p>EXECUTIVE ACCOUNTABILITY AND RELATED MEASURES) BILL 2017 - EXPLANATORY MEMORANDUM.</p> <p>Link to EM:</p> <p><a href="http://parlinfo.aph.gov.au/parlInfo/download/legislation/ems/r6000_ems_4e870fcf-78d2-4201-8051-699e8fa2ca37/upload_pdf/649833.pdf;fileTy pe=application%2Fpdf">http://parlinfo.aph.gov.au/parlInfo/download/legislation/ems/r6000_ems_4e870fcf-78d2-4201-8051-699e8fa2ca37/upload_pdf/649833.pdf;fileTy pe=application%2Fpdf</a></p> <p>To perhaps assist Treasury in narrowing this FOI request to pertinent documents, the Australian Bankers' Association seeks the Treasury breakdown of how many accountable persons were anticipated per a large, a medium and a small ADI when reaching the (total) figure of ""2,535 accountable persons"" across the 149 ADIs as published in the RIS.</p> <p>I am most happy to discuss or further clarify the above request to assist Treasury.</p> <p>Extract from BEAR RIS (pg. 74):</p> <p>General Assumptions Details Estimate Number of large ADIs 4 Number of medium ADIs 20 Number of small ADIs 125 Number of accountable persons (total) 2,535</p>					
50.	2239	FSD	s 47F	4/12/17	All correspondence between the Commonwealth Bank, the National Australia Bank, Westpac, ANZ, and the Australian Bankers Association with Treasurer Scott Morrison and his office on the subject of a Royal Commission into the banking and financial services sector and a Commission of Inquiry into the banking and financial services sector and a banking and financial services compensation tribunal between 01/01/16-05/12/17.	Diane Brown	Mohita Zaheed	Dan Bigna	4/12/17: Request received. 4/12/17: Acknowledgement email sent including request for extension.	3 January 2018
51.	2240	CITD	s 47F	05/12/17	The submissions summary available at <a href="https://treasury.gov.au/consultation/protecting-the-corporate-tax-base-from-erosion-and-loopholes-preventing-dividend-washing/">https://treasury.gov.au/consultation/protecting-the-corporate-tax-base-from-erosion-and-loopholes-preventing-dividend-washing/</a>	Tony McDonald		John Gallagher	5/12/17: Request received. 5/12/17: Acknowledgement sent	4 January 2018

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					refers to • "Advice from the ATO indicates that the existing law is very difficult to apply and would not necessarily apply in all circumstances". I am requesting a copy of the advice from the ATO referred to in the summary, together with any documents which refer to or relate to that advice.					
52.	2241		s 47F	5/12/17	The Australian Council of Trade Unions requests the following documents from the Minister for Revenue and Financial Services ('the Minister'): all written communications, including emails, relating to or referring to the payment of director's fees to industry super board members sent to and/or from the Minister's office and the Institute of Public Affairs between 1 January 2017 and 4 December 2017. We request that any correspondence relating to this request and the above documents be provided to the return address details below, preferably by way of electronic format: James Fleming Legal & Industrial Officer Australian Council of Trade Unions Level 4, 365 Queen Street Melbourne, Vic 3000 Email: <a href="mailto:jfleming@actu.org.au">jfleming@actu.org.au</a> If you have any questions relating to this request, please contact James Fleming on 03 9664 7333.	Ian Beckett	Shelby Schofield	Dan Bigna	5/12/17: Request received. 6/12/17: DM advised, acknowledgement sent. 6/12/17: Shelby Schofield confirmed as AO. 7/12/17: Applicant agreed to a 7 day extension taking the due date to 11 January 2017.	11 January 2018
53.	2242		s 47F	6/12/17	"Under the Freedom of Information Act 1982 I would like to request the following documents.  Documents relating to hospitality declarations by Treasury executives and staff over the past five years.  Charges I ask that you waive processing charges for this request, as per section 29(3)(c) of the Act, because: • it is limited in scope and can be processed quickly; and • access to the information "is in the general public interest or in the interest of a substantial section of the public". <b>Revised request 7/12/17</b> "Please exclude Treasury staff names and other identifying information of those staff from the scope of the request."	Robert Twomey		John Gallagher	6/12/17 Request received 7/12/17 Revised request received. 8/12/17 Acknowledgement sent. Extension granted	19 January 2018
54.	2243		s 47F	24/11/17	As revised "I am writing to request information in	Victoria		John	24/11/17 Request Received 5/12/17 Revised request received	

No.	FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
					<p>relation to Treasury prepared documents in relation to FIRB applications made in the last two years in relation to Alpha Santanol Pty Ltd or the Alpha Santanol Unit Trust, of which Alpha Santanol Pty Ltd is the trustee and Beta Santanol Pty Ltd, or the Beta Santanol Unit Trust, of which Beta Santanol Pty Ltd is the trustee . Collectively these entities are referred to as the "Santanol Entities" We are particularly interested in the date that the applications were made in relation to the Santanol Entities and the date that any approvals were granted in relation to any one of the Santanol Entities.</p> <p>We are particularly interested in the date that the applications were made in relation to the Santanol Entities and the date that any approvals were granted in relation to any one of the Santanol Entities.</p> <p>We would like to know:</p> <p>the magnitude of the amount of investment authorised and any conditions that were included in the authorisation.</p> <p>the names of the entities applying for FIRB approval and their agents and advisers."</p>	Anderson		Gallagher	8/12/17 Acknowledgement sent	
55.										
56.										

## Internal Review Applications

FOI	Area	Applicant	Internal Review Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
2160	FSD	s 47F	14/11/2017	<p>All correspondence (limited to emails and ministerial briefs and excluding Cabinet-related documents, from March, April and in May to May 9) and documents sent between the Treasury and the Treasurer's office relating to the bank levy ahead of the budget</p> <p>Text messages sent by the Treasurer to the Minister of Revenue and other colleagues in the week ahead of budget relating to the bank levy</p>	John Lonsdale	Diane Brown/Leron Kwong	Sally Cook	<p>3/11/2017: Decision notified.</p> <p>14/11/2017: Internal review request received, AO advised</p> <p>20/11/2017: DM advised of review</p>	14 December 2017
2167	FID	s 47F	04/10/2017	<p>Documents in the possession of the Foreign Investment Review Board in relation to the acquisition of DUET by Cheung Kong Infrastructure.</p> <p><b>Revised Request (3/8)</b> Documents that show information relating to the considerations that were undertaken by the Foreign Investment Review Board (FIRB) in relation to the acquisition of DUET by Cheung Kong Infrastructure. The date range is from Nov 1, 2016 to April 21, 2017. The request excludes duplicates. It also excludes third party information with the exception of DUET, Cheung Kong Infrastructure, Power Asset Holdings and KPMG</p>	John Lonsdale	Victoria Anderson/Adam Mezhvinsky	Sally Cook	<p>03/10/2017: Request received.</p> <p>04/10/2017: DM/AO approved.</p> <p>18/10/2017: Discussed next steps with DM/AO</p>	4 November 2017
2135	FSD	s 47F	21/08/2017	'A copy of the earliest dated email at the SES officer level or Secretary level mentioning a bank levy between 1 January 2016 – current'	John Lonsdale	Diane Brown/Lee-Anne Sims	Sally Cook	<p>22/08/2017: Original DM and AO notified of Internal Review, DM notified, request acknowledge, advice sought from PMC</p> <p>29/08/2017: PMC confirmed department's approach and application of Cabinet exemption</p> <p>20/09/2017: Draft decision letter sent to AO for clearance</p> <p>26/09/2017: Follow-up sent to AO.</p>	20 September 2017

## APPLICATIONS FOR REVIEW BY OFFICE OF THE AUSTRALIAN INFORMATION COMMISSIONER

FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
2088	RIPD	s 47F	28 July 2017	Please provide document under the Freedom of Information Act 1983 of what ASIC and APRA contribute to safeguard and protect superannuation in the Australian financial market and the report by APRA alerting Treasury to the gaps in legislation that prevented the questioning of overseas Trio entities.	Ian Beckett	Jonathan Przydacz	Sally Cook	28/07/2017: Notice of IC review and request for documents received 31/07/2017: Relevant officers advised of receipt of review, meeting to discuss next steps 21/08/2017: Response provided to OAIC 22/08/2017: Charges to be refunded, have contacted FAST for information 23/08/2017: OAIC contacted the department advising that matter awaiting allocation by case officer 04/09/2017: Refund request job lodged through Assist	With OAIC
2099	BSD/ POSD	s 47F	23/06/2017	I request documents which detail the precise remuneration paid to each of the Department of Treasury's (the 'Department's') SES officers in the following financial years - FY2013/14, FY 2014/15 and FY2015/16. The group certificates/end-of-year PAYG payments summaries issued by the Department to each of its SES staff in those years can be quickly and easily identified and retrieved, and will efficiently and accurately provide the information the subject of my request.	Eamonn Rooney	Martin Bolton	Sally Cook	23/06/2017: IC review application received, original decision: exempt in full under section 47F(1) of the FOI Act (personal privacy) 30/06/2017: Discussed request with APSC 10/07/2017: Submissions to be drafted, request for extension of time sent to OAIC, legal advice sought from AGS 18/07/2017: Request for extension granted, due date 28 July 2017 28/07/2017: Response sent to OAIC. 07/09/2017: Shelley Napper has been allocated to this matter as case officer.	With OAIC

FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date
1876 OAIC ref: MR16/ 00314	ITTD	S 47F	13/03/16	<p>I am seeking information about taxation policies. Specifically I am seeking:</p> <p>A) Brief(s) and/or advice and/or submission(s) from Treasury to the Treasurer and/or his office encouraging and/or supporting and/or proposing restricting negative gearing tax deductions to new properties (including houses and/or apartments and/or other housing categories).</p> <p>B) A ministerial brief prepared by Treasury for the Treasurer and/or Assistant Treasurer (and/or their ministerial offices) on or after February 13 about Labor's negative gearing and/or capital gains tax policies.</p> <p>C) Advice and/or submission(s) and/or analysis not covered by part B) of this request prepared by Treasury for the Treasurer and/or Assistant Treasurer (and/or their ministerial offices) on or after February 13 about Labor's negative gearing and/or capital gains tax policies.</p>	Marisa Purvis-Smith	Kirsten Embery x2727	Sally Cook	<p>15/03/2016: Initial request sent to DM.</p> <p>24/03/2016: Acknowledgement sent</p> <p>24/03/2016: Charges letter issued</p> <p>15/04/2016: Applicant paid charges</p> <p>Extension currently being negotiated</p> <p>Applicant agreed to a 14 day extension</p> <p>27/04/2016: PM&amp;C agreed to exemption</p> <p>Third party consult - document to be sent</p> <p>09/05/2016: Applicant advise third party consultation process</p> <p>20/05/2016: Third consult letter sent</p> <p>30/05/2016: AO to send through document for redacting</p> <p>03/06/2016: Applicant request that Tsy delay release of documents until 17 June</p> <p>07/06/2016: Draft decision letter sent to DM</p> <p>17/06/2016: Decision letter sent to Applicant</p> <p>24/08/2016: OAIC Review – notified by email, applicant has sought matter by considered by AAT, rather than OAIC.</p> <p>24/08/2016: Treasury response to OAIC</p> <p>11/10/2016: OAIC notifies it is reviewing Treasury's decision</p> <p>09/05/2017: OAIC advises it will provide a preliminary view on the matter shortly</p> <p>14/06/2017: OAIC has asked department to consider 55G decision</p> <p>15/06/2017: advised AO re applicants further submissions</p> <p>20/06/2017: Extension of 4 July 2017 provided by OAIC to provide submissions/55g decision</p> <p>30/06/2017: response provided to OAIC</p>	With OAIC

## APPLICATIONS BEFORE THE ADMINISTRATIVE APPEALS TRIBUNAL

FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date

## APPLICATIONS BEFORE THE FEDERAL COURT

FOI	Area	Applicant	Received	Request	Decision Maker	Action Officer	FOI Team	Status	Due Date



EXTERNAL CONSULTATIONS

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