

# Corporations Act 2001

## Delegation of powers under section 1101J

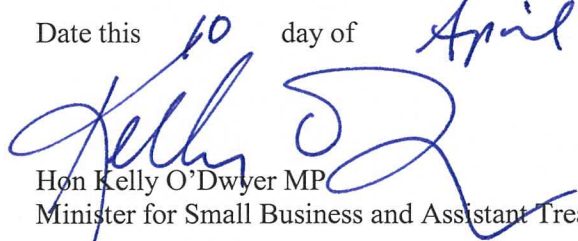
I, Kelly O'Dwyer, Minister for Small Business and Assistant Treasurer, under section 1101J of the *Corporations Act 2001* (the **Act**), DELEGATE to:

- (a) each member of ASIC (within the meaning of section 9 of the *Australian Securities and Investments Act 2001* (**ASIC Act**)); and
- (b) each staff member (within the meaning of subsection 5(1) of the *ASIC Act*) who is an SES employee (within the meaning of section 34 of the *Public Service Act 1999*) or who holds an office or position that is at a level equivalent to that of an SES employee,

all of my powers under the provisions of Chapter 7 of the Act, as outlined in the following table.

Description of power	Provision(s) of the Act
Issue/revoke exemption from holding a licence and set/vary/revoke conditions on an exemption	791C, 820C
Direct audit of annual report	792F, 821E
Approve change of country by foreign licensee	792H, 821F
Issue/vary/revoke directions	794A, 823A
Require a special report including an audited special report	794B, 823B
Issue domestic licence	795B(1), 824B(1)
Issue overseas licence	795B(2), 824B(2)
Gazette the licence	795C, 824C
Impose/vary/revoke conditions on licence	796A, 825A
Vary licence	797A, 826A
Suspend/cancel licence and vary or revoke suspension	797B, 797C, 797D, 797E, 797F, 826B, 826C, 826D, 826E, 826F
Disallow changes to operating rules	793E, 822E
Consider whether market will be covered by Division 4 of Part 7.5 of the Act	881D
Approve compensation arrangements with grant of license	882A
Approve compensation arrangements after license is granted	882B
Revoke an approval of compensation arrangements	882C
Issue directions to licensee	882D
Disallow changes to compensation rules	884B
Approve changes to compensation arrangements	884C
Assess adequacy of compensation rules and arrangements	885B, 885C, 885J
Disallow changes to the operating rules of the Securities Exchanges Guarantee Corporation	890H
Request a risk assessment report	892K

Date this 10 day of April 2016

  
Hon Kelly O'Dwyer MP  
Minister for Small Business and Assistant Treasurer