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# Amended Fee Schedule in the *Corporations (Fees) Regulations 2001*

## Schedule 1 - Fees

The amendments will be made to the fees schedules in the Regulations. Below is the marked up version of the amended table.

Column 1 Item	Column 2 Matter	Column 3 Fee
<i>Occupational licensing</i>		
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1	On lodging an application under section 913A for an Australian financial services licence if the application is made using electronic means: (a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA: (i) low complexity (ii) high complexity (b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA: (i) low complexity (ii) high complexity (c) by an individual to provide a financial service to a wholesale client as defined in sections 761G: (i) low complexity (ii) high complexity	\$2 233 \$5 025 \$3 721 \$7 537 \$1 488 \$3 350

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<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$2 233
	(ii) high complexity	\$5 025
1A	On lodging an application under section 913A for an Australian financial services licence if the application is made without using electronic means:	
	(a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:	
	(i) low complexity	\$3 349
	(ii) high complexity	\$7 537
	(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:	
	(i) low complexity	\$5 582
	(ii) high complexity	\$11 305
	(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$2 233
	(ii) high complexity	\$5 025
	(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$3 349
	(ii) high complexity	\$7 537
1B	On application under paragraph 911A(2)(l) for exemption from the requirement to hold an Australian financial services licence:	
	(a) by a body corporate, partnership or non-corporate trustee	\$323
	(b) by a natural person	\$179
2	On application under paragraph 914A(2)(b) for imposition, variation or revocation of conditions on an Australian financial services licence if the application is made using electronic means:	
	(a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:	
	(i) low complexity	\$1 364
	(ii) high complexity	\$3 071
	(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:	
	(i) low complexity	\$2 233
	(ii) high complexity	\$3 210
	(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$930
	(ii) high complexity	\$3 489

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$1 364
	(ii) high complexity	\$3 071
2A	On application under paragraph 914A(2)(b) for imposition, variation or revocation of conditions on an Australian financial services licence if the application is made without using electronic means:	
	(a) by an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:	
	(i) low complexity	\$2 047
	(ii) high complexity	\$4 606
	(b) by a person other than an individual to provide a financial service to a retail client as defined in sections 761G and 761GA:	
	(i) low complexity	\$3 349
	(ii) high complexity	\$4 815
	(c) by an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$1 426
	(ii) high complexity	\$5 234
	(d) by a person other than an individual to provide a financial service to a wholesale client as defined in sections 761G:	
	(i) low complexity	\$2 047
	(ii) high complexity	\$4 606
2B	On lodging an application under subsection 915A(1) for variation of an Australian financial services licence to take account of a change in the licensee's name	no fee
2C	On application under paragraph 915B(1)(e), (2)(d), (3)(d) or (4)(d) to suspend or cancel an Australian financial services licence	\$899
2D	On application for ASIC to revoke a suspension of an Australian financial services licence mentioned in section 915E	\$899
3	On application under Part 9.2 for registration as an auditor	\$338
3A	On lodging a statement under section 1287A	no fee
3B	On application under paragraph 1289A(3)(b) for imposition, variation or revocation of conditions on registration as an auditor	\$1 028
4B	On lodging an application under section 20-5 of Schedule 2 to the Act for registration as a liquidator	\$2 200
4C	For the registration by ASIC of a person as a liquidator under section 20-30 of Schedule 2 to the Act	\$1 300
4CA	On application under section 20-40 of Schedule 2 to the Act for variation or removal of the conditions on registration as a liquidator	\$1 018
4D	On lodging an application under section 20-70 of Schedule 2 to the Act for the renewal of registration as a liquidator:	

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	(a) if the application is lodged more than 1 month before the renewal date	\$1 736
	(b) if the application is lodged within 1 month before the renewal date	\$1 961
4E	On application under paragraph 40-25(1)(f) or 40-30(1)(f) of Schedule 2 to the Act to suspend or cancel registration as a liquidator	\$234
4F	On application under subsection 40-70(2) of Schedule 2 to the Act for a suspension to be lifted or for the period of a suspension to be shortened	\$1 028
4G	On lodging an application for ASIC to agree to and approve a Deed of Subordination for the purposes of a financial services licensee meeting financial resource requirements imposed as a condition of the licence	\$1 798
4H	On lodging an application for ASIC to agree to and approve a Deed of Mutual Release from a Deed of Subordination that was entered into for the purposes of a financial services licensee meeting financial resource requirements imposed as a condition of the licence	\$1 798
<b><i>Incorporation and registration of companies and other bodies</i></b>		
5	On application for:	
	(a) registration of a company under section 118:	
	(i) if the company has a share capital	\$479
	(ii) if the company does not have a share capital	\$395
	(b) registration of a company under section 601BD:	
	(i) if, at the time of lodging the application, the body corporate was registered under Division 2 of Part 5B.2	\$395
	(ii) in any other case	\$479
	(c) transfer of the registration of a company under section 119A	\$479
6	On lodging the documents under Part 5B.2 for the registration of:	
	(a) a registrable Australian corporation:	
	(i) if, in its place of origin, it is incorporated or registered under a law dealing with the incorporation of associations	\$395
	(ii) if, in its place of origin, it is incorporated or registered under a law except a law dealing with the incorporation of associations	\$479
	(b) a foreign company	\$479
6A	On application under section 601EA for registration of a managed investment scheme:	\$3 029
<b><i>Choice of review date</i></b>		
7	On application under subsection 345B(1) or (2) for approval of a choice of a review date by a company or the responsible entity of a registered managed investment scheme to which item 7A does not	\$39

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	apply	
7A	On application under subsection 345B(1) or (2) for approval of a choice of a review date, by 1 or more companies having the same ultimate holding company, director or company secretary, or the responsible entity of 1 or more registered managed investment schemes:	
	(a) for an application relating to fewer than 10 companies or registered managed investment schemes	\$39 for each company or scheme
	(b) for an application relating to at least 10 companies or registered managed investment schemes	\$390
<b><i>Annual returns and accounts</i></b>		
8	On lodging an annual return or balance sheet and profit and loss account of a registered foreign company under section 601CK	\$1 194
9	On lodging a profit and loss statement and balance sheet under subsection 989B(2)	no fee
9A	On lodging a report under section 319 by a disclosing entity, except a company or registered scheme	\$1 194
9B	On lodging a report under section 320	no fee
<b><i>Fund raising</i></b>		
10	On lodging, under section 718, a disclosure document that is:	
	(a) a prospectus (including a short form prospectus)	\$3 206
	(b) a profile statement	\$ 1924
	(c) an offer information statement	\$ 1924
10A	On lodging, under section 719, a supplementary or replacement document that is:	
	(a) a prospectus (including a short form prospectus)	\$802
	(b) a profile statement	\$802
	(c) an offer information statement	\$321
10B	On lodging, under subsection 712(4), document referred to in a short form prospectus	\$321
<b><i>Takeovers</i></b>		
13A	On lodging with ASIC a copy of a target's statement (and any accompanying report) mentioned in item 13 of the table in subsection 633(1) in relation to an off-market bid	\$2 565

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
13B	On lodging with ASIC a copy of a target's statement (and any other document that was sent with the target's statement to the relevant market operator) mentioned in item 12 of the table in subsection 635(1) in relation to a market bid	\$2 565
13C	On lodging an application for ASIC to give its view on whether an acquisition or proposed acquisition is covered by item 7 of the table in section 611	\$2 565
13D	On lodging with ASIC a notice mentioned in subsection 630(5)	\$160
<i>Market licensees</i>		
15	On giving an annual report to ASIC under subsection 792F(1)	no fee
16	On lodging written notice of changes to operating rules with ASIC under subsection 793D(1): (a) low complexity (b) high complexity	\$2 580 \$38 651
17	On lodging written notice of changes to operating rules with ASIC under subsection 793D(3): (a) low complexity (b) high complexity	\$2 580 \$38 651
17A	On lodging an application, under subsection 795A(1), for an Australian market licence: (a) low complexity (b) medium complexity (c) high complexity	\$15 462 \$85 888 \$154 596
17B	On lodging an application, under subsection 796A(2), for: (a) the imposition of conditions on an Australian market licence; or (b) the imposition of additional conditions on an Australian market licence; or (c) the variation of conditions imposed on an Australian market licence; or (d) the revocation of conditions imposed on an Australian market licence	\$38 651
17C	On lodging an application, under subsection 797A(1), for the variation of an Australian market licence to take account of a change in the licensee's name	\$1 549
17CAA	On application, under paragraph 797B(c), for the Minister to suspend or cancel an Australian market licence	\$12 886
17CAB	On application for an exemption or declaration under section 798D	\$17 180
17CAC	On application for the Minister to vary or revoke the conditions on an exemption, under subsection 820C(2), an exemption from all or	\$17 179

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	specified provisions of Part 7.3	
17CA	On giving an annual report to ASIC under subsection 821E(1)	\$330
17D	On lodging written notice of changes to operating rules with ASIC under subsection 822D(1):	
	(a) low complexity	\$2 580
	(b) high complexity	\$38 651
17E	On lodging written notice of changes to operating rules with ASIC under subsection 822D(3):	
	(a) low complexity	\$2 580
	(b) high complexity	\$38 651
17F	On lodging an application, under subsection 824A(1), for an Australian CS facility licence:	
	(a) low complexity	\$15 462
	(b) medium complexity	\$85 888
	(c) high complexity	\$154 596
17G	On lodging an application, under subsection 825A(2), for:	\$38 651
	(a) the imposition of conditions on an Australian CS facility licence; or	
	(b) the imposition of additional conditions on an Australian CS facility licence; or	
	(c) the variation of conditions imposed on an Australian CS facility licence; or	
	(d) the revocation of conditions imposed on an Australian CS facility licence	
17H	On lodging an application, under subsection 826A(1), for the variation of an Australian CS facility licence to take account of a change in the licensee's name	\$1 549
17HA	On application, under paragraph 826B(c), for the Minister to suspend or cancel an Australian CS facility licence	\$12 886
17I	On lodging an application, under subsection 851A(1), for approval to have voting power of more than 15%	\$158 350
17J	On lodging an application, under subsection 851C(2), to extend the period of an approval to have voting power of more than 15%	\$670
17K	On lodging an application, under paragraph 851D(3)(b), for the imposition, revocation or variation of conditions on an approval to have voting power of more than 15%	\$670
17L	On lodging an application, under subsection 851E(1), to vary a percentage specified in an approval to have voting power of more than 15%	\$670
17M	On lodging an application, under subsection 851F(3), for the revocation of an approval to have voting power of more than 15%	\$670

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
17N	On giving the Minister or ASIC information in accordance with regulations made for paragraph 854A(1)(c)	\$230
17O	On lodging an application, under subsection 882B(1), for compensation arrangements	\$15 462
17P	On lodging written notice, under subsection 884B(2), of a change to a matter required to be dealt with by the compensation rules	\$38 651
17Q	On lodging an application, under subsection 884C(1), for approval of a change to a matter that is not required to be dealt with by the compensation rules	\$38 651
17R	On lodging written notice, under subsection 890G(1), of a change to the SEGC's operating rules	\$38 651
17S	On lodging, under subsection 892H(6), a copy of a report and financial statements	no fee
17T	On lodging an application for a declaration by ASIC under subsection 1073E(1)	\$17 590
17U	On lodging an application for an exemption or declaration by ASIC under subsection 1075A(1)	\$17 590
17V	On giving an exemption under subsection 791C(1) in relation to a particular financial market or type of financial market	\$38 651
17W	On giving an exemption under subsection 820C(1) in relation to a particular clearing and settlement facility or type of clearing and settlement facility	\$38 651
18	On lodging, under subsection 633(1), a copy of the bidder's statement, and of the offer document, for an off-market bid	\$5 264
19	On lodging, under paragraph 650D(1)(b), a notice	\$802
20	On lodging, under section 635, a copy of the bidder's statement for a market bid	\$5 130
21	On lodging, under paragraph 647(3)(a), a supplementary statement	\$802
21A	On lodging an application, under section 905B, for an Australian derivative trade repository licence	\$154 598
21AB	On lodging an application, under paragraph 905F(2)(b), for imposition, variation or revocation of conditions on an Australian financial services licence	\$38 651
21AC	On lodging an application, under section 905G, for the variation of an Australian derivative trade repository licence to take account of a change in the licensee's name	\$1 549
21AD	On lodging an application, under paragraph 905H(c), to suspend or cancel an Australian derivative trade repository licence	\$12 886
<b><i>Financial benchmarks</i></b>		
21B	On lodging an application, under section 908BD, for a benchmark administrator licence	\$154 596
21BA	On lodging an application, under paragraph 908BG(2)(b), for imposition, variation or revocation of conditions on a benchmark	\$38 651



<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	administrator licence	
21BB	On lodging an application, under paragraph 908BI(1)(c), to suspend or cancel a benchmark administrator licence	\$12 886
21BC	On lodging an application, under paragraph 908BH(a), for the variation of a benchmark administrator licence to take account of a change in the licensee's name	\$1 549
21BD	On lodging an application, under paragraph 908BH(b), for the variation of a benchmark administrator licence to take account of a change in the financial benchmark specified in the licence	\$38 651
21BE	On lodging an application, under paragraph 908BH(c), for the variation of a benchmark administrator licence to specify one or more further financial benchmarks	\$38 651
21BF	On lodging an application for ASIC to exempt under subsection 908EB(2) a person or class of persons or exempt a financial benchmark or class of financial benchmarks	\$38 651
21BG	On lodging an application for ASIC to vary or revoke a declaration made by ASIC under subsection 908AC(2)	\$38 651
<b><i>Other applications</i></b>		
22	On lodging an application for the consent of the Minister under subsection 147(2) or 601DC(2) to the use of a name by a corporation or proposed corporation	\$1 194
23	On lodging an application: (a) for ASIC to exercise a power under section 601AE or 601AF; or (b) under Chapter 6, 6A, 6B or 6C for which a fee is not provided by any other item	\$646
24	On application: (a) under paragraph 157(1)(b); or (b) for the exercise by ASIC of its power under subsection 150(1)	\$395
25	On application: (a) under subsection 163(1); or (b) under Chapter 2L, 5C or 6D for which a fee is not provided by any other item	\$78
26	On lodging an application for the reservation of a name under subsection 152(1) or for the extension of a reservation of a name under subsection 152(2)	\$48
27	On lodging an application for which a fee is not provided by any other item, except where <i>no fee</i> appears in column 3 of an item in relation to the application	\$39
27A	On application by a financial services licensee to ASIC for ASIC's consent to remove an auditor of the licensee from office under	no fee

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	paragraph 990F(b)	
27C	On application under subparagraph 911A(2)(h)(ii) or paragraph 7.9.08(1)(a) of the <i>Corporations Regulations 2001</i> for approval of an overseas or foreign regulatory body	no fee
27E	On application for a declaration under paragraph 1071H(5)(d) that a company is not required to comply with section 1071H	\$323
27F	On application under subregulation 7.8.02(6C) of the <i>Corporations Regulations 2001</i> that the minimum balance of moneys held in an account to which section 981B relates may be reduced below the amount required by subregulation 7.8.02(6) of those Regulations	\$270
27G	On application under paragraph 7.8.16(4)(b) of the <i>Corporations Regulations 2001</i> that a person is not an officer of a body corporate for the purposes of subregulations 7.8.16(1) and (2) of those Regulations	\$270
27H	On application under subparagraph 7.9.32(2)(a)(ii) of, or Part 16 of Schedule 10A to, the <i>Corporations Regulations 2001</i> for an extension of fund reporting periods	\$270
27HA	On making an application to the Panel under the Corporations Act	\$2 400
27J	On application, under subsection 601HH(1) or (2), for ASIC's consent to the removal or resignation of an auditor of a compliance plan	\$791
27JA	On application, under subsection 601VBA(1), for approval to have voting power of more than 15% in a particular licensed trustee company	\$11 550
27JB	On application, under subsection 601VBC(2), to extend the period for an approval granted under section 601VBB	\$5 775
27JC	On application, under subsection 601VBE(1), to vary the percentage specified in an approval granted under section 601VBB	\$5 775
27JD	On application, under paragraph 601WBA(1)(b), for ASIC to make a voluntary transfer determination	\$5 775
27JE	On application, under paragraph 494(3)(b), for an extension of time to lodge a declaration of solvency	\$156
27JF	On application, under paragraph 494(3)(c), for an extension of time to pass a resolution for a voluntary winding up	\$156
27JG	On application, under paragraph 532(6)(b), for ASIC to direct that paragraph 532(6)(b) does not apply to a person	\$156
27JH	On application for ASIC to make a direction under subsection 545(2)	\$156
27JI	On application for ASIC to approve, under section 283GB, a body corporate to be a trustee for the purposes of paragraph 283AC(1)(f)	\$16 030

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
27JJ	On application for ASIC to give a direction under paragraph 448C(3)(b)	\$156
27JK	On application. Under paragraph 912B(2)(b), for ASIC to approve arrangements	\$1 540
27JKA	On application, under subsection 90-23(2) of Schedule 2, for ASIC to appoint a registered liquidator to carry out a review into a matter that relates to the external administration of a company	\$156
27JL	On application for the registration by ASIC of a disclosure statement under clause 32 of Schedule 4 to the Act	\$2 695
27JM	On application for exemption or a declaration under section 111AT, 259C, 283GA, 341, 601QA, 655A, 669, 673, 741, subsection 765A(2), section 907D, 926A, 951B, 992B, 1020F, 1075A, 1437, 1442 or 1445, or subclause 30(1) or (2) of Schedule 4	\$3 487
27JN	On application, under subsection 30-1(4) of Schedule 2, for ASIC to extend or further extend the period for a registered liquidator to lodge a return under subsection 30-1(1) of that Schedule	\$156
27JO	On application for ASIC to allow a further period for a liquidator to deal with proofs as mentioned in paragraph 5.6.53(1)(b) of the <i>Corporations Regulations 2001</i>	\$156
27JP	On application for ASIC to allow a further period for a liquidator to deal with a formal proof of debt or claim as mentioned in paragraph 5.6.66(1)(b) of the <i>Corporations Regulations 2001</i>	\$2 695
27JQ	On application for ASIC to approve a guarantee mentioned in sub-paragraph 7.6.02AAA(3)(b)(ii)(B) of the <i>Corporations Regulations 2001</i>	\$1 540
27JR	On application, under subsection 601AA(1), to deregister a company	\$39
27JS	On application, under subsection 601PA(1), to deregister a registered scheme	no fee
27JT	On application, under subsection 989D(3), for ASIC to approve an extension of the period for lodging a profit and loss statement and balance sheet	no fee
27JU	On lodging a notice with ASIC mentioned in subsection 990B(6) relating to the appointment of an auditor of an Australian financial services licensee	no fee
27JV	On lodging, under subsection 1287(1), particulars of an event mentioned in that subsection	no fee
27JW	On lodging a notice under subsection 1299F(3)	no fee
27JX	On lodging an application under section 324BD to appoint an individual who is not a registered company auditor, as auditor of a proprietary company	no fee
27JY	On lodging an application to extend the period of time to provide notice of the hearing of an application under paragraph 411(2)(a).	no fee
<b>Late lodgement</b>		
28	On the late lodgement of a document (in addition to any fee provided by any other item for the lodgement of that document):	

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	(a) if lodged within one month after the prescribed time	\$78
	(b) if lodged more than one month after the prescribed time	\$323
<b><i>Supply of information and documents</i></b>		
29	For a document issued or displayed by ASIC containing information relating to a single corporation or registered scheme, if the information is retrieved using a computer system:	
	(a) if requested by or on behalf of the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription:	
	(i) if the document contains only current information	no fee
	(ii) if the document contains both current and non-current information	\$17
	(b) in any other case:	
	(i) if the document contains only current information	\$9
	(ii) if the document contains both current and non-current information	\$17
30	For inspecting, or an enquiry involving the inspection of, documents that are lodged by or in relation to a particular corporation or registered scheme:	
	(a) where the documents are reproduced using a computer system:	
	(i) for less than 10 pages	\$17
	(ii) for 10 pages or more	\$39
	(b) in any other case	\$17
30AB	For inspecting, or an enquiry involving the inspection of, a quarterly report lodged with ASIC under section 283BF	no fee
30A	For an electronic transmission notifying a change in the information relating to an entity, in addition to any fee payable under item 29 or paragraph 30(a)	\$9
31	For inspecting, or an enquiry involving an inspection of, the Register of Licence Holders, the Register of Futures Licensees, the Register of Auditors, the Register of Liquidators:	
	(a) if requested by or on behalf of the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription	no fee
	(b) in any other case	\$9
31A	For inspecting, or an inquiry involving an inspection or search (other than a search of information prescribed, on a register prescribed, for the purposes of subsections 1274A(2), (3) and (4) of the Corporations Act) of:	

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	(a) the register in respect of financial services licensees mentioned in subsection 922A(2) of the Corporations Act; or (b) the register in respect of authorised representatives of financial services licensees mentioned in subsection 922A(2) of that Act; or (c) the register in respect of persons against whom a banning order or disqualification order is made mentioned in subsection 922A(2) of that Act; or (d) the Register of Relevant providers maintained under section 922Q of the Corporations Act; by or on behalf of: (e) the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription; or (f) any other person	no fee        \$12
32	For inspecting, or an enquiry involving the inspection of, the Australian Register of Company Charges and documents lodged by a particular corporation and used by ASIC to compile or maintain that Register, where documents are reproduced using a computer system: (a) for less than 10 pages (b) for 10 pages or more	   \$17 \$39
33	For the issue of a document relating to recorded entries about a corporation or a registered scheme, or a director or secretary of a company, on all registers maintained using a computer system: (a) if requested by or on behalf of the Australian Broadcasting Corporation, the Special Broadcasting Service, the Australian Bureau of Statistics, the holder of a licence for a commercial broadcasting or television station or the proprietor or publisher of a newspaper generally available to the public otherwise than by subscription (b) in any other case	no fee   \$39
34	For a certificate issued by ASIC, except a certificate issued under: (a) paragraph 118(1)(c), section 160, subsection 164(6), subsection 165(5), paragraph 601BD(1)(c) or subsection 601CU(1) or 1280(5), or subsection 20-30(4) of Schedule 2 or subsection 20-75(4) of Schedule 2; or (b) regulation 2A.2.04 of the Corporations Regulations	\$19
35	For supplying a certified copy of, or a certified copy of an extract from, a document filed or lodged with ASIC, in addition to the fee payable under item 30, 31 or 32	\$19
36	For the production by ASIC, pursuant to a subpoena, of a document in its custody	\$39
37	Where the inspection of a register or the issue or display of a document occurs at a Business Centre of ASIC, in addition to the fee payable under item 29, 30, 31, 32 or 33	\$3

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
<i>Other matters</i>		
39	On lodging any document under Chapter 2L, 5C, 6D and 7 if no fee is prescribed in relation to the document in any other item, except where <i>no fee</i> appears in column 3 of an item in relation to the document	\$39
40	For any act that ASIC is required or authorised to do on the request of a person, if no fee is prescribed in relation to the act, except: (a) an act under section 601AE or 601AF; or (b) where <i>no fee</i> appears in column 3 of an item in relation to the act	\$39
41	For the preparation by ASIC of the statement referred to in paragraph 411(17)(b)	\$135
42	On submitting a proposed statement under subsection 412(1) to ASIC for examination	\$321
42A	On electronically lodging a notice with ASIC for publication on ASIC's publication website, as required by section 412	\$321
42B	On electronically lodging a notice with ASIC for publication on ASIC's publication website, as required by subsection 465A(1)	\$145
42C	On electronically lodging a notice with ASIC for publication on ASIC's publication website, as required by section 491	\$64
43	On lodging, by an disclosing entity, a document referred to in subsection 675(2) relating to price sensitive information	no fee
44	Lodgment with ASIC of a Product Disclosure Statement mentioned in section 1015B (other than a supplementary Product Disclosure Statement)	\$1 993
44A	On lodging a notice with ASIC about the occurrence of an event mentioned in paragraph 1015D(2)(b) or (c)	no fee
45	On giving notice by an auditor of a financial services licensee to ASIC under paragraph 990G(1)(a)	no fee
46	On lodging any document under subsection 912C(1), 912D(1), 912D(2) or 912E(2), or paragraph 913B(1)(ca),	no fee
46AA	On lodging a notice under subsection 922HA(1)	\$250
46AB	On lodging a proposed notice of meeting under subsection 218(1)	\$802
46AC	On lodging a notice with ASIC mentioned in paragraph 411(2)(a) relating to the hearing of an application under subsection 411(1) or (1A)	\$5 290
46AD	On lodging a statement with ASIC for registration as mentioned in subsection 412(6)	\$321
46ADA	On lodging a notice with ASIC under subsection 601FL(2) or 601FM(2) relating to a change of responsible entity of a registered scheme	\$1 187
46AE	On lodging a notice with ASIC, under subsection 601FQ(4)	\$1 028

<b>Column 1 Item</b>	<b>Column 2 Matter</b>	<b>Column 3 Fee</b>
	informing ASIC of a Court's order appointing a temporary responsible entity of a registered scheme	
46AG	On lodging a notice with ASIC, under paragraph 661B(1)(b) relating to a compulsory acquisition following a takeover	\$802
46AH	On lodging with ASIC, under paragraph 662B(1)(b), relating to a right to buy out to holders of convertible securities following a takeover bid	\$641
46AI	On lodging with ASIC, under paragraph 663B(1)(b), relating to a right to buy out to holders of convertible securities following a takeover bid	\$160
46AJ	On lodging a notice with ASIC under paragraph 664C(2)(a) relating to a compulsory acquisition of securities	\$802
46AK	On lodging a copy of an objection form with ASIC under subsection 664E(2) relating to an objection to the compulsory acquisition of securities covered by a compulsory acquisition notice	\$802
46AL	On lodging a list with ASIC under subsection 664E(3) relating to members objecting to a compulsory acquisition	\$160
46AM	On lodging a notice with ASIC under paragraph 665B(1)(b) relating to a right of buy outs to holders of convertible securities by a 100% holder	\$160
46AN	On lodging with ASIC, under subsection 1200C(5), a notice and documents and information required to be lodged under section 1200D	\$321
46AO	On lodging a document, statement or notice with ASIC under subsection 1200G(9)	\$160
<i>Other matters with no fee</i>		
46AP	On lodging a deed in relation to an application with ASIC for an exemption or modification under section 340	no fee
46AQ	On application, under paragraph 331AC(1)(b), for ASIC to appoint an auditor of a registered scheme	no fee
46AR	On lodging a notice, under regulation 5C.1.02 of the <i>Corporations Regulations 2001</i> to change the name of a registered scheme	no fee
46AS	On lodging a notice, under regulation 5C.9.01 of the <i>Corporations Regulations 2001</i> , telling ASIC that winding up of a scheme has commenced or been completed	no fee
46AT	On lodging an auditor's report with ASIC under subsection 601HG(7)	no fee
46AU	On lodging with ASIC, under subsection 601KB(5), a copy of a withdrawal offer	no fee
46AV	On lodging with ASIC, under subregulation 7.5.90(4) of the <i>Corporations Regulations 2001</i> a completed Form 719	no fee
<b><i>Legislative streamlining</i></b>		
46A	On lodging a Replacement Product Disclosure Statement with ASIC under Subdivision E of Division 2 of Part 7.9	no fee

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Column 1 Item	Column 2 Matter	Column 3 Fee
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*Authorised audit companies*

51	On lodging an application for registration as an authorised audit company under section 1299A	\$3,429
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52	On lodging an annual statement under section 1299G	no fee
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